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- (71) Applicant (for all designated States except US): LAB901 LTD [GB/GB]; Unit 53, Imex Business Centre, Dryden Road, Bilston Glen, Loanhead, Midlothian EH20 9LZ (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): POLWART, Stuart [GB/GB]; "Braeview", Banknock, Bonnybridge, Stirlingshire FK4 1UE (GB). FEARNLEY, Joel [GB/GB]; "Caimton", Carlops Road, West Linton, Peeblesshire EH46 7DS (GB). ROY, Douglas [GB/GB]; 17 Bath Street, Portobello, Edinburgh EH15 1EZ (GB). GHAZAL, Peter [GB/GB]; "Cademuir", 10 Gordon Terrace, Edinburgh EH16 5QW

- G01N 27/447, (74) Agent: KENNEDYS PATENT AGENCY LIMITED; Floor 5, Queens House, 29 St. Vincent Place, Glasgow G1 2DT (GB).
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(54) Title: APPARATUS AND METHODS FOR MICROFLUIDIC APPLICATIONS

(57) Abstract: Non-rigid tape apparatus and fabrication methods for microfluidic processing applications such as gel electrophoresis are provided, where microfluidic processing is performed on selected areas. Parts of the tape are formed by high pressure plastic film forming. Membranes and other structures are self sealing during and after penetration by pipettes and electrical probes. Rigid exoskeleton elements protect the non-rigid parts during processing and facilitate transport of the tape.

2 This invention relates to fabrication and processing 3 technology for microfluidic applications in chemical and 4 biological processing and analysis, in particular 5 fabrication and application of non-rigid apparatuses 6 optionally in the form of a tape. 7 8 In the field known as "lab-on-a-chip", electronic, 9 microfluidic and bio processes are combined at chip scale 10 to bring dramatic productivity and cost benefits to 11 fields as diverse as high throughput screening, bio-12 molecular assays and point of care diagnostics. 13 14 Fabrication technologies are known that have been 15 developed in the microelectronics industry and then 16 applied to biotechnology and biomedical industries. 17 However, compared to electronic based devices, 18 biotechnology devices are much more diverse in order to 19 enable the manipulation of a large variety of bio 20 materials, fluids and chemicals. Improvements in 21 performance, throughput and cost have been achieved by 22 reducing the size and volume in miniaturised biosystems. 23

Apparatus and Methods for Microfluidic Applications

- 2 These "Lab-on-a-chip" solutions have increased the amount
- 3 of functionality per apparatus by miniaturisation. The
- 4 problem with increased miniaturisation is the complexity
- 5 of smaller scale processing and the large cost of
- 6 equipment for microfabrication. Furthermore,
- 7 conventional lithographic and etching processes adopted
- 8 from the microelectronics industry require rigid
- 9 apparatuses.

10

- 11 Glass apparatuses for microfluidic applications are
- 12 known, such as the LabCHIP from Caliper Technologies Corp
- 13 (Mountain View, CA), US Patent 6,274,089. The glass
- 14 apparatus is attached to a plastic moulded cartridge
- 15 which incorporates wells for loading test samples,
- 16 reagents and gel.

17

- 18 Rigid plastic apparatuses are known, such as the LabCard
- 19 from Aclara Biosciences Inc (Mountain View, CA), US
- 20 Patent 6,103,199. A tooling process involving patterning
- 21 and electroplating is used to create embossed
- 22 microchannels on the card surface.

23

- 24 "Lab-on-a-CD" devices such as from Gamera and Gyros use
- 25 centrifugal force of a rotating disk as the microfluidic
- 26 pumping mechanism, e.g., Gamera Bioscience Corporation
- 27 (Medford, MA), US Patent 6,063,589.

- 29 The above are all discrete devices which require further
- 30 handling steps for continuous operation. They are also
- 31 inefficient for single test operation.
- 32 Silicon apparatuses are known, such as the Nanogen chip,
- 33 which is a microfluidic microarray device, where the

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microarray is selectively doped with biological or
     chemical probes which can be polarised electrically to
     attract or repel molecules from the sample material under
 3
     test.
 5
 6
     For example, US Patent 5,858,195 to Lockheed Martin
 7
    Energy Research. Corporation (Oak Ridge, TN) describes a
 8
    microchip laboratory system and method to provide fluid
 9
    manipulations. The microchip is fabricated using
10
11
    standard photolithographic procedures and etching,
    incorporating an apparatus and rigid cover plate joined
12
    using die bonding. Capillary electrophoresis and
13
    electrochromatography are performed in channels formed in
14
    the apparatus. Analytes are loaded into a four-way
15
    intersection of channels by electrokinetically pumping
16
17
    the analyte through the intersection.
78
    These approaches require time consuming additional steps
19
20
    of picking and placing discrete apparatuses which
    increases the overall processing cycle time in
21
22
    microfluidic applications.
23
    "MicroTape™ - A 384 Well Ultra High Throughput Screening
24
    System" Journal of the Association for Laboratory
25
    Automation, May 1999: Volume 4, Number 2, p. 31, Astle,
26
    T.W., teaches of a tape device designed for storage of
27
    liquid compounds in smaller volumes (typically 10 ul)
28
    than the industry standard 96 or 384 well micro-titer
29
    plate (MTP). Tape storage is in a pattern identical to a
30
    384 well MTP. In effect, MicroTape™ is an alternative
31
    passive storage medium to the micro-titer plate.
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33
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- 1 The primary features of MicroTape™ are:
- 2 1) bulk compounds typically stored in 96 or 384 well
- 3 micro-titer plates can be transferred into a smaller
- 4 volume storage medium, i.e. the MicroTape<sup>m</sup>, and then
- 5 stored within the medium for future use at low
- 6 temperature. When this array of compounds is required for
- 7 test, only one section of tape (i.e. a 384 well section)
- 8 need be retrieved and defrosted, rather than the whole of
- 9 the bulk compound medium.
- 10 2) the MicroTape $^{ exttt{m}}$  incorporates a separate sealing
- 11 membrane to protect the compound during storage. This
- 12 membrane is capable of being de-sealed and re-sealed.
- 13 3) use of MicroTape™ for Polymerase Chain Reaction (PCR)
- 14 processing. The concept takes a reel/roll of MicroTape™
- 15 and uses alternate immersion in hot and cold water tanks
- 16 to perform thermal cycling for the PCR process.

18 The limitations of this approach are:

19

- 20 It's well capacity is 10ul which is much larger scale
- 21 than lab-on-a-chip.
- 22 It is not patterned microfluidic channels.
- 23 It is not analytical, i.e. does not incorporate gels or
- 24 analytes through which molecular separation or
- 25 purification can be accomplished.
- 26 It is not electrically active, i.e. incorporating
- 27 electrical elements or interfacing with electrical
- elements i.e. it is simply a carrier.
- 29 The PCR processing is performed on the whole reel
- 30 rather than on selectable areas or segments of the
- 31 tape.

5

- 1 In the contemporary art of gel electrophoresis, including
- 2 the emerging field of miniaturised systems, a common
- 3 means of detection is to capture an image of these layers
- 4 using electro-optical means. A convenient method is to
- 5 use a 2 dimensional CCD (Charged Coupled Device) detector
  - 6 array (an area array) to capture the appearance of the
- 7 permeation layer area in a single "snapshot" image.
- 8 Another convenient method is to use a 1 dimensional CCD
- 9 array (a line array) and move it relative to the
- 10 permeation layer such that the full image is built up
- 11 from many adjacent line images.

12

- 13 It would be advantageous to provide an apparatus for
- 14 microfluidic applications that allowed an increased area
- 15 for microfluidic processing, without requiring an
- 16 increase in miniaturisation and the associated complexity
- 17 of processing.

18

- 19 It would be further advantageous to provide an apparatus
- 20 for microfluidic applications that facilitated loading
- 21 and transport of analytes and reagents both during and
- 22 after apparatus fabrication.

23

- 24 It would be further advantageous to provide an apparatus
- 25 that allowed continuous processing of a moving apparatus.

26

- 27 It would be further advantageous to provide an apparatus
- 28 that allowed a variable area on one apparatus, while
- 29 using a fixed size of apparatus handling mechanism.

30

- 1 It would further be advantageous to integrate information
- '2 storage and management systems within or on the apparatus
- 3 for use with simple detection methods.

- 5 It is an object of at least one aspect of the present
- 6 invention to provide an apparatus for microfluidic
- 7 applications.

8

- 9 It is a further object of at least one aspect of the
- 10 present invention to allow an increased area for
- 11 microfluidic processing and novel dynamic processing
- 12 steps both within and of the apparatus, while using
- 13 simple fabrication processes and apparatus handling
- 14 techniques.

15

- 16 In this document, a probe is defined as including
- 17 mechanical probes, electrical probes and pipettes for
- 18 fluidic manipulation.

19

- 20 In this document, indexing patterns are defined as
- 21 including patterns for facilitation mechanical movement,
- 22 detection of position, detection of movement, and display
- 23 and recording of information.

24

- 25 In this document, mass transport is defined as transport
- 26 of mass relative to the apparatus.

- 28 According to a first aspect of the present invention,
- 29 there is provided an apparatus for microfluidic
- 30 processing applications, wherein said microfluidic
- 31 processing is performed on a selected area of a plurality
- 32 of areas each individually selectable on said apparatus,
- 33 characterised in that the apparatus is non-rigid.

1 . 5 According to a second aspect of the present invention, there is provided an apparatus for mass transport microfluidic processing applications, characterised in that the apparatus is non-rigid. 5 6 According to a third aspect of the present invention, 7 there is provided an apparatus for microfluidic processing applications, characterised in that the apparatus comprises at least one rigid member and at 10 least one non-rigid member. 11 12 Preferably the apparatus comprises at least two non-rigid 13 14 members. 15 Preferably said non-rigid member is a tape. 16 17 Preferably there are a plurality of rigid members each 18 associated with one of a plurality of areas each 19 individually selectable on said apparatus. 20 21 Preferably said rigid member comprises access ports. 22 23 According to a fourth aspect of the present invention, 24 there is provided a method of fabrication of an apparatus 25 for microfluidic processing applications, comprising the 26 step of attaching at least one rigid member to at least 27 28 one non-rigid member. 29 Preferably said method of fabrication further comprises 30

the step of forming at least one non-rigid member.

- Preferably said step of forming said at least one nonrigid member comprises the step of high pressure plastic 2 film forming with said high pressure acting on said 3 apparatus. 5 Alternatively said step of high pressure plastic film 6 forming is arranged with the high pressure acting on a 7 compliant membrane, which is part of a forming tool in 8 .9 contact with said apparatus. 10 Preferably said rigid member has a maximum dimension 11 perpendicular to its plane greater than the maximum 12 dimension perpendicular to the plane of said at least one 13 non-rigid member. 14 15 According to a fifth aspect of the present invention, 16 there is provided a method of mounting an apparatus for 17 microfludic processing applications, comprising the step 18 of attaching said apparatus to a non-rigid carrier that 19 20 is in the form of a tape. 21 Preferably said carrier has a maximum dimension 22 perpendicular to its plane greater than the maximum 23 dimension perpendicular to the plane of said apparatus. 24 25 26 Preferably said apparatus is attached to said non-rigid carrier by snap fitting into apertures in said carrier. 27 28 Alternatively said apparatus is attached to said non-29 rigid carrier by ultrasonic welding, heat sealing, 30 adhesive, chemical or molecular bonding. 31
- 33 Preferably said apparatus is a tape.

1 Preferably said apparatus comprises a polymer film. 2 3 Preferably said apparatus comprises processing elements 4 for microfluidic processing. 5 6 Typically said processing elements comprise indents of 8 said apparatus. 9 Optionally said processing elements comprise cavities 10 embedded within said apparatus. 11 12 Optionally said processing elements comprise processing 13 materials in intimate contact with the surface of said 14 15 apparatus. 16 Optionally said processing elements comprise processing 17 materials embedded within said apparatus. 18 19 Optionally said processing elements comprise opaque, 20 translucent or coloured materials for providing optical 21 isolation between elements or providing indexing marks. 22 23 Preferably an element of said apparatus is transparent. 24 25 Preferably a member of said apparatus is transparent. 26 27 28 Preferably said apparatus is penetrable. 29 Preferably said apparatus is self sealing during 30 31 penetration.

33

More preferably said apparatus is self sealing after 2 penetration. 3 Preferably said apparatus further comprises an impermeable membrane. 5 6 7 Preferably said impermeable membrane is affixed in intimate contact with parts of the surface of said apparatus. 10 Alternatively said impermeable membrane is arranged as 11 discrete areas of impermeable membrane in intimate 12 contact with parts of the surface of said apparatus. 13 14 Preferably said impermeable membrane is penetrable. 15 16 Preferably said impermeable membrane is self sealing 17 18 during penetration. 19 More preferably said impermeable membrane is self sealing 20 21 after penetration. 22 23 Optionally said impermeable membrane is re-sealed by a capping element after penetration. 24 25 Preferably said impermeable membrane is supported by 26 27 support structures. 28 29 Preferably said apparatus further comprises a non-rigid 30 member. 31

Preferably said non-rigid member is affixed in intimate.

contact with parts of the surface of said apparatus.

1 2 Alternatively said non-rigid member is arranged as 3 discrete areas of non-rigid member in intimate contact 4 with parts of the surface of said apparatus. 5 6 Preferably said non-rigid member is penetrable. 7 Preferably said non-rigid member is self sealing during 8 9 penetration. 10 11 More preferably said non-rigid member is self sealing 12 after penetration. 13 14 Optionally said non-rigid member is re-sealed by a 15 capping element after penetration. 16 Preferably said non-rigid member is supported by support 17 18 structures. 19 According to a sixth aspect of the present invention, 20 there is provided a method of fabrication of an apparatus 21 22 for mass transport microfluidic processing applications comprising the step of forming an apparatus that is non-23 24 rigid. 25 According to a seventh aspect of the present invention, 26 there is provided a method of fabrication of an apparatus 27 28 for mass transport microfluidic processing applications comprising the step of fabricating a tape. 29 30 Preferably said step of forming said apparatus comprises 31 the step of high pressure plastic film forming with said 32

high pressure acting on said apparatus.

33.

1 Alternatively said step of high pressure plastic film 2 forming is arranged with the high pressure acting on a 3 compliant membrane, which is part of the forming tool in contact with said apparatus. Optionally said step of fabricating said apparatus 7 further comprises the step of preloading processing 8 materials onto said apparatus before fabrication. 9 10 Optionally said step of fabricating said apparatus 11 further comprises the step of loading processing 12 materials onto said apparatus during fabrication. 13 14 Typically said step of preloading or loading during 15 fabrication of said apparatus comprises the step of 16 depositing processing materials onto a carrier. 17 18 Typically said step of preloading or loading during 19 fabrication of said apparatus comprises the step of 20 21 depositing processing material onto a non-rigid member. 22 Preferably said deposited processing material comprises 23 24 permeation layers. 25 Alternatively said deposited processing material 26 27 comprises conductive material. 28 Alternatively said deposited processing material 29 comprises chemically or biologically active material. 30 31 Alternatively said deposited processing material 32 comprises marks for identity purposes. 33

1 2 Alternatively said deposited processing material comprises magnetisable material. 3 4 5 Preferably said step of depositing comprises printing. 6 7 Alternatively said step of preloading or loading during fabrication of said apparatus is performed by a 8 preloading or loading process selected from a list of 9 10 processes comprising: deposition and etching, injection 11 into a cavity and injection into an indentation. 12 13 Preferably said method of fabrication of said apparatus further comprises the steps of depositing patterns on an 14 15 apparatus and forming said apparatus, wherein the localised formation of said processing elements is 16 17 responsive to the distortion by said forming of said 1.8 deposited pattern. 19 Preferably said method of fabrication of said apparatus 20 21 further comprises the steps of depositing patterns on an 22 apparatus and localised formation of said apparatus is 23 responsive to the topography of said deposited pattern, resulting in the formation of said processing elements. 24 25 Preferably said step of depositing comprises pre-26 27 printing. 28 According to an eighth aspect of the present invention, 29 there is provided a method of fabrication of an apparatus 30 for mass transport microfluidic processing applications, 31 comprising the step of including an impermeable membrane 32 33 as part of said apparatus.

1 2 Preferably said step of including an impermeable membrane 3 comprises the step of affixing an impermeable membrane to a substrate. 5 Optionally, said step of including an impermeable 6. membrane comprises the step of depositing, overlaying or 7 affixing discrete areas of impermeable membrane in 8 intimate contact with parts of the surface of said 9 10 apparatus. 11 Optionally, said step of including an impermeable 12 membrane comprises the step of depositing, overlaying or 13 affixing an impermeable membrane on said apparatus and 14 selectively removing areas of said impermeable membrane. 15 16 Optionally, said selected removal of said impermeable 17 membrane is performed by the step of cropping. 18 19 According to a ninth aspect of the present invention, 20 there is provided a method of fabrication of an apparatus 21 for mass transport microfluidic processing applications, 22 comprising the step of including a non-rigid member as 23 24 part of said apparatus. 25. Preferably said step of including a non-rigid member 26 comprises the step of affixing a non-rigid member to a 27 28. substrate. 29 Optionally, said step of including a non-rigid member 30 comprises the step of depositing, overlaying or affixing 31

discrete areas of non-rigid member in intimate contact
with parts of the surface of said apparatus.

1 Optionally, said step of including a non-rigid member 2 comprises the step of depositing, overlaying or affixing a non-rigid member on said apparatus and selectively removing areas of said non-rigid member. 6 Optionally, said selected removal of said non-rigid 7 member is performed by the step of cropping. 8 9 According to a tenth aspect of the present invention, 10 there is provided a method of microfluidic processing, 11 comprising the steps of selecting an area of a plurality 12 of areas of an apparatus and performing microfluidic 13 processing at said selected area, characterised in that 14 15 said apparatus is non-rigid. 16 Optionally said step of performing microfluidic 17 processing comprises contacting at least one conducting 18 element that connects the exterior of said apparatus to 19 the interior of said apparatus. 20 21 Preferably said method further comprises the step of 22 providing an electrical potential to at least one 23 conducting element. 24 25 Preferably said method further comprises the step of 26 enabling an electrical current to pass through said least 27 one conducting element. 28 29 Preferably said apparatus is a tape. 30 31 Preferably said microfluidic processing is mass transport 32 microfluidic processing. 33

2 Preferably said microfluidic processing is responsive to the deformation of said apparatus. 3 Preferably said deformation comprises deformation by a 5 step selected from a list of steps comprising: bending, 6 flexing, folding, twisting, conforming to a rigid · 7 surface, mechanical deformation, deformation by applying a sound pressure, deformation by applying a liquid pressure, and deformation by applying a gas pressure. 10 11 Typically said gas pressure is a negative pressure. 12 13 Optionally said deformation may further comprise the step 1.4 of bringing part of said apparatus back into contact with 15 another part of itself. 16 17 Alternatively, said step of deformation further comprises 18 · the step of bringing a part of said apparatus into 19 contact with another apparatus. 20 21 22 Optionally said deformation of said apparatus comprises the step of moving part of said apparatus into a position 23 for processing of said part of said apparatus. 24 25 Typically said position for processing is a position with 26 said apparatus in contact with a processing tool. 27 28 Preferably said microfluidic processing is responsive to 29 said deformation of said apparatus, said microfluidic 30 processing being selected from a list comprising pumping, 31

filling, pouring, pressurising, mixing, dispensing,

33 aspirating, separating, combining, heating and cooling.

of piercing.

1 2 According to an eleventh aspect of the present invention, 3 there is provided a method of processing for microfludic processing applications, characterised in that the 4 processing comprises the step of piercing an impermeable 5 6 membrane. 7 Preferably said step of piercing an impermeable membrane 8 is performed with at least one probe. 10 Optionally said at least one probe comprises at least one 11 12 pipette. 13 More preferably said method of processing further 14 comprises the step of providing an electrical potential 15 to at least one conducting probe that has pierced said 16 17 membrane. 18 Alternatively said step of processing further comprises 19 the step of enabling an electrical current to pass 20 through at least one conducting probe that has pierced 21 said membrane. 22 23 According to a twelfth aspect of the present invention, 24 there is provided a method of processing for microfludic . 25 processing applications, characterised in that the 26 processing comprises the step of piercing an apparatus. 27 28 Preferably said apparatus is self sealing during said 29 30 step of piercing. 31 Preferably said apparatus is self sealing after said step 32

1 Optionally said apparatus is re-sealed by a capping 2 element after penetration. 3 Preferably said step of piercing the apparatus is 5 performed with at least one probe. Optionally said at least one probe comprises at least one 8 pipette. 10 More preferably said method of processing further 11 comprises the step of providing an electrical potential 12 to at least one conducting probe that has pierced said 13 14 apparatus. 15 Alternatively said step of processing further comprises 16 the step of enabling an electrical current to pass 17 through a conducting probe that has pierced said 18 19 apparatus. 20 According to a thirteenth aspect of the present 21 invention, there is provided an apparatus for 22 microfluidic processing applications, characterised in 23 that the apparatus is a non-rigid tape comprising a 24 plurality of indexing patterns. 25 26 Preferably said indexing patterns are rigid members. 27 28 29 Preferably said indexing patterns are repeated. 30 Preferably said indexing patterns are arranged to 31 facilitate detection of position. 32

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Typically said indexing patterns are arranged to facilitate detection of position using optical detection. 2 3 According to a fourteenth aspect of the present invention, there is provided a method of transporting a 5 tape apparatus for microfluidic applications comprising the step of moving said apparatus by interaction of a 7 8 moving object with at least one rigid member attached to 9 said apparatus. 10 In order to provide a better understanding of the present 11 invention, an embodiment will now be described by way of 12 example only and with reference to the accompanying 13 14 figures in which: 15 Figure 1 illustrates in schematic form non-rigid 16 apparatuses, showing a section of tape and an enlargement 17 of one area suitable for gel electrophoresis in 18 19 accordance with the present invention; 20 Figure 2 illustrates in schematic form a variety of 21 processing elements in accordance with the invention; 22 23 Figure 3 illustrates processing elements incorporating 24 25 impermeable membranes comprising homogeneous apparatus 26 material; 27 28 Figure 4 illustrates impermeable processing elements incorporating discrete impermeable membranes and 29 processing elements on hinged tabs; 30 31

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Figure 5 illustrates the insertion and removal of a probe
 1
 2
    into a processing element through an impermeable self-
 3
    sealing membrane;
 5
    Figure 6 illustrates a plan view of an apparatus
    incorporating an extended impermeable membrane with a
 6
    variety of support structures;
    Figure 7 illustrates a cross-section of the same
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    structures illustrated in Figure 6;
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12
    Figure 8 illustrates some of the same structures in
    cross-section as Figure 7, but where the processing
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14 .
    elements comprise processing materials;
15
    Figure 9 illustrates in schematic form a plan view of a
16
    structure for probing through an impermeable membrane;
17
18
    Figure 10 illustrates an alternative arrangement to that
19
    of Figure 9 where the channel extends into the apparatus;
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21
22
    Figure 11 illustrates a cross-section of the structure
    illustrated in Figure 10;
23
24
25
    Figure 12 illustrates a tape apparatus with indexing
26
    patterns;
27
    Figure 13 illustrates in schematic form a variety of \cdot
28
29
    cross-sections of indexing patterns;
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    Figure 14 illustrates a flow chart describing the steps
31
    of fabrication of an apparatus;
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Figures 15 and 16 illustrate arrangements of scanning the
 2
     optical detectors for scanning the apparatus;
 3
     Figure 17 illustrates plan and elevation views of a
 4
    micro-array configuration of the apparatus;
 5
 6
     Figure 18 illustrates in schematic form non-rigid
 7
    apparatuses in accordance with the present invention;
 8
 9
    Figure 19 illustrates in schematic form the components of
10
    a planned fabrication scheme of one embodiment;
11
12
    Figure 20 illustrates in schematic form a compact
13
14
     fabrication option;
15
    Figure 21 illustrates in schematic form an operating mode
16
    using a vacuum suction onto a scanner or a
17
18
    heating/cooling plate;
19
    Figure 22 illustrates in schematic form reservoir
20
    fabrication showing the option of sample loading through
21
22
    penetration of a cover seal;
23
    Figure 23 illustrates in schematic form reservoir
24
    fabrication showing the option of electrical probe
25
    penetration of a cover seal;
26 -
27
    Figure 24 illustrates in schematic form an alternative
28
29
    electrical probe option;
30
    Figure 25 illustrates in schematic form a supporting
31
    layer of one segment of a tape after preparatory
32
33
    printing;
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Figure 26 illustrates in schematic form a formed pattern layer after forming; Figure 27 illustrates in schematic form a formed pattern layer after a blanking operation; б Figure 28 illustrates in schematic form a formed pattern layer assembled to the supporting layer; 10 Figure 29 illustrates in schematic form an exoskeleton; 11 12 13 Figure 30 illustrates in schematic form an exoskeleton affixed to the supporting/patterned layer; 14 15 16 Figure 31 illustrates in schematic form a section (vertical scale exaggerated for clarity) and plan view 17 through one tape segment and disposition of sealing 18 19 plugs; 20 Figure 32 illustrates in schematic form loading of 21. 22 electrolyte during manufacture; 23 Figure 33 illustrates in schematic form loading of 24 25 analyte during manufacture; and 26 Figure 34 illustrates in schematic form loading of a test 27 28 sample at the point of use. 29. Figure 35 illustrates in a flowchart of automated 30 processing using the fabricated tape. 31 32

23

- The invention is a non-rigid apparatus for microfluidic processing applications, which may be in the form of a tape. The use of a non-rigid apparatus allows novel dynamic processing methods. The incorporation of re-
- 5 sealable impermeable layers allows further novel dynamic

6 processing steps.

7

- 8 Figure 1a shows a typical section of tape 1 with an array
- 9 of microfluidic processing areas or processing segments 2
- 10 in accordance with a preferred embodiment of the present
- 11 invention. Adjacent test segments are spaced to suit the
- 12 sample supply vessel. For example, where samples are
- 13 delivered for test in a 384 well microtiter plate format,
- 14 the tape segments will be supplied on a 4.5mm pitch, P.
- 15 The tape is processed in a vertical plane with the sample
- 16 loading ports uppermost. The tape width, W, is typically
- 17 25mm but is configurable in a range of 1mm to 100mm.

18

- 19 Figure 1b shows an enlargement of a single processing
- 20 segment 2, the operation of which follows well-
- 21 established principles of electrophoresis. A DNA test
- 22 sample is assumed.

23

- 24 The apparatus includes a supporting layer 251, a formed
- 25 pattern layer 265 with a machine readable index mark 254.
- 26 The pattern layer has formed cavities 266 and a
- 27 connecting channel 267 filled with gel. The exoskeleton
- 28 2915 supports plugs 3124 that are used for re-sealable
- 29 access to the cavities.

- 31 A DC voltage in the range 5 to 500 Volts (typically
- 32 100V/cm has been found to be suitable) will be applied
- 33 across negative terminal 252 and positive terminal 253.

- 1 This will cause the negatively charged DNA sample 3430 to
- 2 migrate into the gel column 267 and its constituent
- 3 molecules will then separate into bands in accordance
- 4 with their molecular weight. An image of the band pattern
- 5 will be captured by a commercial CCD camera and the image
- 6 processed and presented to the user on a computer screen.

- 8 The electrical terminal pads 252 and 253 are conveniently
- 9 presented for perpendicular access by external contact
- 10 pins whose engagement will be controlled by the tape
- 11 processing instrument. The exoskeleton 2915 may be
- 12 conveniently employed as the tape transport means, and be
- 13 driven by, for example, a toothed belt or a drive pinion
- 14 having the same tooth pitch as the test segments on the
- 15 tape.

16

- 17 The CCD image capture system can also conveniently
- 18 capture the test segment ID mark, thus avoiding the need
- 19 for a separate device such as a bar code reader.

20

- 21 Figure 2a illustrates a part of an apparatus 20 in cross-
- 22 section. The apparatus contains a variety of processing
- 23 elements which are an indent 21, a void or cavity in the
- 24 apparatus 22 processing materials on the surface of the
- 25 apparatus 23, processing materials embedded within the
- 26 apparatus 24, and processing materials in an indent on
- 27 the surface of the apparatus 25.

- 29 Figure 2b illustrates part of an apparatus in cross-
- 30 section with processing materials partially filling the
- 31 height of a cavity in the apparatus 26 and processing
- 32 material 27 embedded in a channel 28 within the
- 33 apparatus.

32

2 The processing elements may comprise geometries which have sloping, curved or stepped surfaces. The processing materials may be conformal layers in intimate contact with surfaces of the apparatus. The processing elements 6 may be opaque, translucent or coloured in order to 7 provide optical isolation between elements or, alternatively, to provide indexing marks for allowing detection of movement and position of the apparatus. 10 Several of the processing elements shown in Figures 2a 11 and 2b may be linked together, for example by cavities 12 or indented troughs, which are themselves processing 13 14 elements such that the linked elements act as a single 15 processing group. 16 17 Figure 2c illustrates a plan view 210 of processing 18 element groups 211 on part of an apparatus 212. Figure 2d illustrates a cross section of one of the processing 19 20 element groups 211 shown in figure 2c. The formed plastic substrate 212 has a plastic membrane film 213 21 22 attached 214. The membrane is typically 0.1mm thick, but 23 could be as thin as 0.02mm. An indented trough 215 is provided for processing materials such as materials based 24 25 on Agarose or polyacrylamide gel. A channel 216 is 26 provided for a plug that can be removed by, for example, 27 laser ablation in order to allow processing material 28 transport between the indented trough 215 and another 29 processing element, indent 217. The substrate indents 30 have pips 218 that are shaped to guide a probe such as a pipette to an area of the lower surface for penetration 31

into the processing elements, for example indent 217.

```
1 The substrate may be self-sealing during and after such
2 penetration.
```

4 The processing materials can be gases, liquids, solids or

- semi-solids, e.g. biomolecular samples, fragments of
- 6 DNA, biochemical polymers, chemical polymers,
- j biomolecular modifiers, catalysts, antibodies,
- polypeptide molecules, protein molecules, biological
- 9 organisms such as cells and viruses and permeation
- 10 layers. The permeation layers may be solid, semi-solid,
- 11 liquid, viscous, gelatinous or gaseous layers. The
- 12 permeation layers may be biomolecular gates which are
- 13 activated by electrical probes. The function of the
- 14 biomolecular gates is defined by their particular depth,
- 15 shape, volume and composition.

16

- 17 Figure 3 shows a cross-section 30 of an apparatus for
- 18 microfluidic processing applications. The apparatus
- 19 contains a processing element 31 that is a cavity in the
- 20 apparatus material. At the top of the cavity the
- 21 apparatus material is thin, such that there is a membrane
- 22 32 that is impermeable and acts as an hermetic seal to
- 23 protect the contents of the cavity.

24

- 25 The apparatus contains another processing element 33,
- 26 where the membrane is configured as a flap 34, such that
- 27 the cavity is sealed when the unattached end of the
- 28 membrane is in contact with the apparatus 35.

29 <sup>°</sup>

- 30 Figure 3 illustrates another processing element 36 with a
- 31 membrane arranged as a flap 37 and distortion of the
- 32 apparatus 38 resulting in the opening of the flap at its
- 33 unattached end 39.

- Figure 4a illustrates an apparatus 40 that includes the
- 3 same type of processing elements as shown in Figure 3,
- 4 but in this case the impermeable membrane is deposited,
- 5 overlaid or affixed as discrete areas of impermeable
- 6 membrane in intimate contact with parts of the surface of
- 7 the apparatus. In the first processing element 41, the
- 8 impermeable membrane 42 provides a hermetic seal to the
- 9 cavity 43.

10

- 11 Another processing element 44 shows the impermeable
- 12 membrane 45 in intimate contact and attached to the
- 13 apparatus at the left hand side 46 and configured as a
- 14 flap in a sealing contact with the right hand side 47 of
- 15 an indent in the apparatus 48. This flap may be opened
- 16 by deforming the apparatus in the same way as described
- 17 as above with reference to processing element 36.

18

- 19 In another processing element 49, the impermeable
- 20 membrane 410 is deposited as a plug in an indent
- 21 resulting in a cavity 411, the membrane again providing
- 22 an hermetic seal.

23

- 24 Alternatively, the impermeable membrane is continuous
- 25 with the tape (i.e. not discrete). This continuous
- 26 configuration can also embody local flaps in the membrane
- 27 and still be one continuous membrane.

- 29 Figure 4b illustrates a plan view and Figure 4c
- 30 illustrates cross-section views of a strip of apparatus
- 31 413 where a section of the apparatus had been removed 412
- 32 by punching out. The shape punched out has left several
- 33 tabs 414 each with an indent 415 for containing

- l processing materials. The tab 414 may be mechanically
- 2 folded along the fold line 417. The fold line may be
- 3 weakened by perforation or indenting. A second indent
- 4 for processing materials 418 is positioned on the
- 5 opposite side of the fold line from the indent 415. When
- 6 the tab is folded over 419, the indent 415 is tipped over
- 7 into contact with the indent 418, allowing mixing,
- $\ensuremath{\mathfrak{g}}$  pouring or transfer of processing materials between the
- 9 two indents. This pouring may be assisted by the force of
- 10 gravity, capillary action or external pressure.
- 11 Alternative arrangements can be made that tilt through an
- 12 angle of e.g. 30 degrees to cause pouring.

- 14 Figure 5 shows a cavity during a sequence of steps before
- 15 penetration 51, during penetration 52 and after
- 16 penetration 53. The probe 54, which is a pipette, is to
- 17 be inserted into the cavity 55 through the membrane 56.
- 18 When the probe 57 is inserted through the membrane 58,
- 19 the membrane is self-sealing, such that there is a seal
- 20 between the probe and the membrane 58. Processing
- 21 materials 510 are then deposited in the cavity. After
- 22 removal of the probe 511, the impermeable membrane is
- 23 self-sealing and a seal 512 is formed at the exit point
  - 24 of the probe. The penetration of the impermeable
  - 25 membrane can allow introduction of processing materials
  - 26 into cavities in the apparatus or removal of processing
  - 27 materials from the apparatus, the penetration of the
  - 28 membrane can allow the introduction of measurement tools
  - 29 into the apparatus or processing tools into the
  - 30 apparatus. When penetration is by a conducting probe,
  - 31 voltages can be applied that cause movement of fluids
  - 32 through processing materials using an electrokinetic
  - 33 method.

29

1 2 Large areas of membrane would tend to bend on attempted 3 insertion of a probe. Figure 6 shows a plan view of an apparatus 60 with an extended membrane 61 and support 5 structures that provide support for the membrane adjacent 6 to the location where probes are to penetrate the 7 membrane. Figure 7a shows a cross-section 70 of the same 8 structure that is shown in the plan view of Figure 6. 9 Figure 7b shows a cross-section 71 of the same structure 10 that is shown in the plan view of Figure 6, but with a continuous membrane 72 affixed to a substrate. 11 12 13 Figures 6 and 7 include support structures that are pillars 62, ribs 63 and an annulus 64. The centre of the 14 15 annulus contains a membrane that may be penetrated by a probe. The annulus allows a "via" hole 65 to be created 16 17 all the way through the apparatus and through which a 18 wire or conducting probe can be passed so that a magnetic 19 field can be created to interact with the adjacent 20 processing area of the apparatus. 21 22 Another useful structure is a circular indent but still 23 connected to adjacent processing elements and an 24 externally configured loop or coil of wire (or other 25 conducting element) around that circular indent. The 26 electrical/magnetic field created can be used to attract 27 or trap or process the liquid in the circular indent. 28 29 A "U" shaped pillar 66 is shown and a probe that enters 30 in the centre of the "U" at point 67, marked with a plus, 31 may be connected to a probe penetrating the impermeable 32 membrane at the second penetration point 68 by an

electrical, liquid or permeation path that is greater in

```
length than the direct distance between the two
 2
     penetration points.
     Figure 8 shows a cross-section 80 of similar structures
. 4
     to those in Figure 7, except that the cavities in the
 5
     apparatus are filled with processing materials 81.
 6
 7 .
     Figure 9 shows a plan view of an apparatus 90 with a
 8
     membrane that extends from a first penetration point 91
 9
     to a second penetration point 92 via an indented trough
10
     93. A probe inserted through the impermeable membrane at
11
     the first penetration point 91 may be connected to a
12
    probe penetrating the impermeable membrane at the second
13
    penetration point 92 by an electrical, liquid or
14
    permeation path that is greater in length than the direct
15
    distance between the two penetration points.
16
17
    Figure 10 shows a plan view of an apparatus 100 with two
18
    membranes, each of which are penetration points 101 and
19
20
          The dotted lines represent the edges of a buried
    102.
    channel 103 in between the two membranes.
21
22
    Figure 11 shows a cross-section through the line
23
    connecting the two penetration points of Figure 10 which
24
    can be seen to be two membranes 101 and 102. The channel
25
    103 extends into the depth of the apparatus 104. In this
26
    alternative arrangement the electrical, liquid or
27
    permeation path between tips of probes that are inserted
28
    through the penetration points are also greater than the
29
    direct distance between the two probes.
30
31
    Turning Figures 10 and 11 through 90 degrees, illustrates
32
```

side entry (rather than top entry) to the apparatus.

31

1 Then Figure 10 becomes a side view of the tape and Figure

2 11 is a plan view of the plane of a strip of tape.

3

4 With reference to Figure 12, an apparatus 120 is shown in

- 5 plan view with a plurality of indexing patterns 121. The
- 6 indexing patterns may be opaque, translucent or coloured
- 7 materials. The indexing patterns may be surface
- 8 patterns, such as indents or process materials or raised
- 9 patterns of apparatus material, for example the
- 10 exoskeleton (2915 in Figures 1b and 29). Alternatively,
- 11 the indexing patterns may be embedded within the
- 12 apparatus or patterns of magnetism in a magnetic film or
- 13 perforations through the depth of the apparatus. Indexing
- 14 patterns are arranged to facilitate traction of the
- 15 apparatus and detection of position using optical,
- 16 electromagnetic, electrochemical, electrical or other
- 17 forms of detection. The indexing patterns may also
- 18 record information related to the apparatus processing
- 19 elements or the apparatus processing materials on the
- 20 apparatus or within it processing results, processing
- 21 status, processing time, processing location or
- 22 processing identity. An indexing pattern may be a strip
- 23 of material which functions as a data recording medium,
- 24 for example magnetic or magneto-optical tape. Such tape
- 25 may be written to and read by standard methods.

26

- 27 With reference to Figure 13 that shows in schematic form
- 28 a variety of cross-sections of indexing patterns, an
- 29 indexing pattern is shown as an indent 130, a raised
- 30 feature 131, an embedded feature 132 or a hole 133
- 31 punched through the apparatus.

- 1 With reference to Figure 14a, a flow chart is shown which
- 2 describes the general process steps for the fabrication
- 3 of non-rigid apparatuses for microfluidic processing
- 4 applications, including apparatuses in the form of a tape
- 5 or apparatuses of homogeneous material which may be
- 6 assembled to a tape or discrete microfluidic devices
- 7 which may be assembled to a tape.

- 9 Firstly, raw material preparation is provided, 141, the
- 10 primary material will be a flexible substrate, preferably
- 11 in the form of a continuous tape but other substrates,
- 12 membranes, films, mouldings, skeletal structures or pre-
- 13 assembled microfluidic devices may be part of the
- 14 fabrication "kit".

15

- 16 Patterns can be pre-printed 142, preferably on a flat
- 17 plastic non-rigid substrate. These patterns may be
- 18 conductive elements, chemically or biologically active
- 19 zones, magnetisable zones, or printed marks for identity
- 20 purposes.

- 22 The apparatus, 143, is formed using high pressure thermo-
- 23 forming with the high pressure acting on the apparatus or
- 24 the high pressure acting on a compliant membrane which is
- 25 part of the forming tool that is in contact with the
- 26 apparatus. The high pressure may be delivered by a gas
- 27 or a fluid. During forming, the pre-printed patterns on
- 28 the tape surface may be distorted in response to the
- 29 topography of the formed processing elements. The final
- 30 position of the pre-printed pattern material may be
- 31 predicted by calibration test runs or simulation in order
- 32 to design pre-printed patterns that distort to create
- 33 processing elements that comprise the processing material

33

that has been pre-printed. Alternatively, the forming of an apparatus may be performed by stereolithography or selective laser sintering. While forming the apparatus by stereolithography or selective laser sintering, processing elements may be included in the apparatus either by direct patterning or in response to the topography of the pre-printed patterns on the carrier. 7 The fabrication of the apparatus can further comprise the step of preloading processing materials 144. 10 processing materials may be preloaded by processes such 11 as printing, film deposition and etching, stereo-12 lithography, injecting into a cavity and also injection 13 into an indentation. Alternatively, the preloading may 14 be achieved by tilting the apparatus with respect to 15 gravity in order to open flaps of impermeable membrane so 16 as to introduce processing materials through the open 17 flaps into underlying structures. Alternatively these 18 flaps may be opened by the distortion of the apparatus, 19 such as conforming it to a rigid roller or corner. 20. 21 A cropping operation 145 can be incorporated (optionally 22 before the preloading step) to insert apertures in a 23 substrate or finish a substrate to a defined external 24 25 profile. 26 Apparatus assembly can continue, 146, by attachment or 27 assembly of other layers, for example, a sealing layer or 28 sealing layers, or sealing plugs, or additional 29 supporting layers to improve the robustness of the 30 apparatus, or other pre-assembled devices. The attachment 31 methods may include a mechanical snap-fit, a mechanical 32

interference fit, ultrasonic welding, heat sealing,

33.

- 1 molecular, chemical or adhesive bonding. Typically the
- 2 final layer of apparatus that is affixed results in one
- 3 or more impermeable membranes as part of the apparatus.
- 4 Alternatively, the membranes may be formed by depositing,
- 5 overlaying or affixing discrete areas of impermeable
- 6 membrane in intimate contact with parts of the surface of
- 7 the apparatus. Alternatively the formation of the
- 8 impermeable membrane may be performed by depositing a
- 9 film of impermeable membrane across the apparatus and
- 10 selectively removing areas of the impermeable membrane.
- 11 This selective removal may be performed using
- 12 cropping/blanking or by lithography, such as
- 13 photolithography, for patterning combined with wet or dry
- 14 etching. These membranes are optionally formed of
- 15 homogeneous apparatus material in the case of formation
- 16 using stereo-lithography or selective laser sintering.

- 18 The apparatus can incorporate a further loading sequence,
- 19 147, of chemical or biological agents such as solvents,
- 20 electrolytes, gels, stainers, dyes, affinity tags or bio-
- 21 sensors. This loading may be achieved by pipette probe
- 22 through the apparatus membrane or through an access port
- 23 or access ports in the apparatus.

24

- 25 These steps 141 to 147 have many possible permutations
- 26 and Figures 14b, 14c and 14d illustrate by way of
- 27 example, the fabrication sequence of some of the
- 28 alternative embodiments described within this document.

- 30 Figure 14b shows the general fabrication sequence for the
- 31 three layer construction method described by Figure 19
- 32 including the fabrication steps 14191, 14192 and 14193 of

35

1 the substrate 191 sealing layer 192 and carrier layer 193

2 respectively.

3

4 Figure 14c shows the general fabrication sequence for the

5 three layer construction method described by Figure 22,

6 including the fabrication steps 14221, 14222 and 14225 of

7 the substrate 221 sealing layer 222 and carrier layer 225

8 respectively.

9

10 Figure 14d shows the general fabrication sequence for the

11 construction method described by Figure 1b including the

12 fabrication steps 14251, 14265, 142915 and 143124 of the

13 substrate 251 process layer 265, exoskeleton 2915 and

14 sealing caps 3124 respectively.

15

16 In each of Figures 14a to 14d, the material preparation

17 step 141 is a film forming step, except for the

18 exoskeleton and sealing cap material preparation 1411,

19 which is a moulding step.

20

21 With reference to Figure 15, the moving apparatus 150

22 with indexing patterns that are permeation (for

23 separation) indents 151, can provide the scanning

24 function of a scanning optical detector with fixed optics

25 152 and a fixed line scan Charged Coupled Device (CCD)

26 detector 153.

27

28 Additionally, with reference to Figure 16, when this

29 fixed scanning system 161 is configured to suit a chosen

30 width of tape apparatus 162 (e.g. 100mm, shown in plan

31 view, not to scale) or multiple transverse separation

32 layers, then it can also image capture, without

33 modification, any other tape apparatus which is of lesser

- width 163 (e.g.50mm or 20mm), thus providing the advantage of a detection such a sixty sixty.
- 2 advantage of a detection system with flexibility in the
- 3 handling of different widths of substrate.

- 5 Additionally, where the substrate is configured to have
- 6 more than one discrete permeation layer in a transverse
- 7 line across the substrate, each of these more than one
- 8 discrete permeation layers can be imaged simultaneously.

9

- 10 In the emerging field of biological micro-arrays, the
- 11 processing substrates are typically comprised of a rigid
- 12 transparent material (e.g. a glass slide) and whereby
- 13 bio-material is deposited locally on a rectangular grid
- 14 whose pitch may be in the range of 50um to 2mm. The
- 15 present invention provides the advantage that it is
- 16 equally suitable as a substrate for micro-array
- 17 fabrication but offers the benefit of having low
- 18 fabrication cost and a capability for continuous
- 19 processing due to the flexible nature of the apparatus in
- 20 its form as a continuous tape.

21

- 22 With reference to Figure 17, the apparatus is illustrated
- 23 schematically 170 in plan and side views configured to
- 24 locate each element of a micro-array 171 in a shallow
- 25 well or dimple 172, on a tape 173, thereby allowing a
- 26 reduced risk of cross contamination between adjacent
- 27 elements.

- 29 The apparatus is thus configured to provide an improved
- 30 degree of containment for any reaction process which is
- 31 specified to take place on that micro-array element and
- 32 that this improved degree of containment can allow

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1 operations of mixing, stirring or agitation which would 2 not be achievable with planar micro-arrays. . 3 4 The apparatus is configured such that this shallow well 5 has a thin wall section 174 (e.g. 0.1mm, compared to a 6 glass slide of typically 1 to 3mm) that enables the 7 efficient coupling of a conductive heating element 175 8 (for example a peltier device or similar) to the well for 9 the purpose of, for example, hybridisation of a DNA 10 sample at a temperature in the range of, for example, 60 11 to 80 degrees centigrade. 12 . This thin wall section can readily be transparent and 13 14 that this enables the efficient coupling of an optical 15 system 175 to detect the bio-reaction state of any element on the micro-array. 16 17 18 The apparatus can also have different regions 19 functionalised for the attachment of chemical or biological moieties such as affinity tags or biological 20 probes. Within a microfluidic channel, there can be 21 micro-zones incorporating reactive groups for highly 22 23 specific functions, e.g. an affinity tag such as a 24 streptavidin coated zone. 25 With reference to Figure 18, an apparatus 10 according to 26 27 the present invention is shown. The apparatus 11 is non-28 rigid and is shown as being bent, by the apparatus being 29 conformed to the surface of a roller 12. 30 The apparatus is non-rigid in that it is pliant, unlike 31 32 rigid apparatuses known in the prior art that are made of

at least one layer of hard plastic or glass or silicon,

- 1 or where the composite apparatus is rigid. On
- 2 deformation of the apparatus according to the present
- 3 invention, the apparatus can return to its original shape
- 4 (i.e. flat) after deformation. The apparatus may have a
- 5 bend radius approaching zero.

- 7 The apparatus is a tape in that it is substantially
- 8 longer than it is wide in its larger two dimensions.
- 9 Hence it is a substantially continuous, narrow, flexible
- 10 strip. The tape 13 may be arranged in a reel-to-reel
- 11 arrangement between reels or rollers 14 and 15.

12

- 13 With extreme deformation, the apparatus may be folded and
- 14 remain folded. This may be facilitated by using
- 15 perforations or indentations to weaken the fold line.
- 16 Thus the apparatus may be folded into a fanfold
- 17 arrangement 16 for storage, dispensing and processing.

18

- 19 The tape can also be separated into short discrete
- 20 sections 17. The separation may be performed by
- 21 guillotining or tearing across perforations or
- 22 indentations in the tape.

23

- 24 A continuous strip of tape 18 may be arranged around
- 25 rollers 19 into a conveyor belt arrangement. A twist in
- 26 the tape would provide a Moebius strip arrangement.

27

- 28 The apparatus may be formed from a polymer film, that is
- 29 a thermoplastic polymer film, thermosettable polymer
- 30 film, elastomeric polymer film or hybrid compositions of
- 31 each of these films.

- 1 In another embodiment, the tape comprises three primary
- 2 construction elements as illustrated with reference to
- 3 Figure 19. The tape incorporates a thin polymer substrate
- 4 191 that is formed to create indented wells, channels and
- 5 junctions which can be configured to create a wide range
- 6 of micro-fluidic geometries. This substrate may
- 7 optionally incorporate one or more surface coating layers
- 8 on the processing side of the substrate and these
- 9 layer(s) may fully cover the substrate surface or be
- 10 confined to local areas of the substrate. The substrate
- 11 may incorporate liquid or solid chemicals within the well
- 12 or channel areas of the substrate.

- 14 The substrate and its chemical contents may be protected
- 15 by the attachment of a cover seal 192 membrane. The
- 16 combined substrate and cover seal will be attached to a
- 17 carrier layer 193 whose function is to protect the
- 18 substrate from mechanical stress or damage during
- 19 handling, shipment, storage or end user processing. The
- 20 tape may be a one time use consumable item.

- 22 The tape assembly employs construction materials,
- 23 fabrication techniques and packaging methods that ensure
- 24 that the tape will function reliably at its final point
- 25 of use. The tape will therefore be unaffected by:
- 26 Automated and manual handling processes prior to
- 27 shipment packaging (factory);
- 28 Automated and manual handling processes at the point of
- 29 use (end user);
- 30 Shipment transport (protected by secondary packaging);
- 31 Transport temperatures of -40C to +70C (up to 24
- 32 hours);
- 33 Storage temperatures of OC to +40C (up to 12 months);

```
- Relative humidity in range 10% to 90% (transport and
  2
     storage); and
     - Atmospheric pressure (air cargo).
  3
     The substrate comprises a thin polymer membrane with a
 5
     thickness of 50um preferred, but 125um for some
 6
     applications. The thickness may be selected to match
 7
     available commercial film grades.
 8.
     The substrate has:
. 10
     - Forming radius equal to thickness without stress
11
12
     cracking;
     - Feature width to depth ratio, typically in range 2:1 to
13
14
     1:1;
     - Uniform (consistent) draw during forming.
15
16
    Thermal assist during (or prior to) forming is desirable.
17
18
     Forming may be:
19
       1) high pressure in range 1 bar to 200 bar
20
       2) Vacuum
       3) high pressure with vacuum assistance
21
22
23
    All of these may benefit from a pre-heating cycle.
24
25
    Desirable features of the substrate include:
26

    stable after forming (having no shape memory effects );

27
    - Flexible, non rigid, non brittle;
28
29
    - Abrasion Resistant;
    - Punchable, to create optional holes for mechanical
31
    indexing;
    - Penetratable by probe (e.g. for liquid delivery or for
32
    electrical probing);
33
```

- 1 High optical clarity;
- 2 Adaptable via suitable surface modification to minimise
- 3 static charge or to locally influence
- 4 hydrophilic/hydrophobic surface characteristics;
- 5 Chemical Resistance to Aqueous solutions
- 6 Analyte material loaded in the substrate channels
- 7 typically comprised of Agarose or Polyacrylamide,;
- 8 Provide bio-compatible surface (e.g. DNA, proteins,
- 9 cells, bacteria etc);
- 10 Avoid leeching of metals, anti-oxidants and
- 11 stabilisers;
- 12 Capable of receiving a heat sealable cover layer e.g.
- 13 polyester/polyethylene cover layer; and
- 14 Printable with ink, stroke widths down to 0.1mm.

- 16 Auxiliary coatings or deposited layers on the substrate
- 17 include:
- 18 Local conductive tracking;
- 19 Local hydrophobic coatings (e.g. PTFE);
- 20 Local hydrophilic coatings (eg titanium oxide); and
- 21 Bio-compatible coatings (e.g. parylene).

- 23 The seal 192 may be a single or composite layer but a
- 24 dual composite construction may be beneficial in that the
- 25 outer layer can be specified to resist the thermal
- 26 affects of the heat sealing tool whereas the inner layer
- 27 is able to melt and create a seal without putting the
- 28 integrity of the membrane at risk. Properties of the seal
- 29 layer include:
- 30 Seal Thickness: Typically in range 10um to 50um;
- 31 Chemical Resistance: As per substrate above;
- 32 Optical: As per substrate above;

It is preferred that the seal be suitable for penetration by a probe (typically 0.5-1mm diameter) e.g. for liquid delivery or for electrical probing. A self healing or re-3 sealable penetration hole is preferred. 5 Pre-forming of the seal (schematically as in Figures 22 6 7 and 23) is optional to enhance rigidity of the sealing layer during penetration and to provide the necessary 8 space within the tape for processing materials. 9 10 . The carrier layer 193 can comply with EIA-481-B 11 (Electronic Industries Alliance), the standard for 12 "Embossed carrier Taping" for automated component 13 handling in the electronic industries. A preferred 14 material is either black or translucent polystyrene, 15 preferred thickness is in the range 100um to 300um. This 16 layer will be formed prior to assembly of the 17 substrate/cover such that the substrate/cover will be 18 contained within a recessed channel in the carrier tape 19 and thereby avoid contact with any other surfaces during 20 manufacture or distribution (e.g. in a reel), or at point 22 of use. 23 The primary functions of the carrier layer are a) to 24 provide a mechanically robust carrier for the more 25 fragile substrate/cover layers b) incorporate punched 26 holes which provide a means of transport drive for the 27 tape c) incorporate registration features which align the 28 substrate/cover layer with the punched drive holes d) 29 incorporate apertures which allow the channels in the 30

substrate to be visible from underneath the tape.

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- 1 With reference to Figure 20, which is a section across
- 2 the width of the tape, not to scale, a 50um thick
- 3 microfluidic substrate 201 formed up to 250um deep, is
- 4 contained within the 300um thickness of the carrier 202
- 5 thus affording it protection. The substrate has analyte
- 6 203 and is capped with the seal 204.

7

- 8 . With reference to Figure 21, a negative pressure (vacuum)
- 9 is applied to the two ports 210 that distorts the
- 10 substrate onto a tool 211 such as a viewing window of a
- 11 scanner or a heating/cooling plate.

12

- 13 With reference to Figure 22, a sample loading probe 221
- 14 is positioned ready to penetrate a reservoir in the pre-
- 15 formed cover seal 222 (that is dimpled for ease of
- 16 insertion). The substrate contains analyte 223 and the
- 17 reservoir contains electrolyte 224.

18

- 19 With reference to Figure 23, electrokinesis 231 probes
- 20 are shown penetrating the reservoirs.

21

- 22 With reference to Figure 24, probes 241 external to the
- 23 "wet chemistry" zone are shown connecting to conductive
- 24 layers on the substrate that are an anode 242 and a
- 25 cathode 243.

26

- 27 For the preferred embodiment, a single segment of tape
- 28 will be described below, comprising the means of
- 29 processing one discrete test sample of bio-material such
- 30 as DNA.

- 32 Figure 25 shows a supporting layer 251 comprises a thin
- 33 flat optically clear film of either polycarbonate,

- l polyester, polystyrene, poly methyl methacrylate, or
- 2 other co-polymers of these materials. This film will
- 3 typically be 125um thick but other thicknesses in the
- 4 range 25um to 1000um may be used. This Layer has a
- 5 pattern of conductive tracks 252 and 253 applied by
- 6 screen printing or laser printing or ink jet printing as
- well as a pattern 254 which can be machine read to
- 8 indicate the identity of that segment.

- 10 Figure 26 shows a formed patterned layer 265 comprising a
- 11 thin film of either polycarbonate, polyester,
- 12 polystyrene, polyethylene, polymethyl methacrylate,
- 13 polypropylene or other co-polymers of these materials.
- 14 This film will be typically 50um thick but other
- 15 thicknesses in the range 10um to 200um may be used. This
- 16 material need not be optically transparent and some
- 17 advantage may be gained by having it translucent or
- 18 opaque; translucency offers a means of back-lighting
- 19 scatter (opposite side from the optical supporting layer)
- 20 which may be used for illuminating and capturing an image
- 21 of the tape processes; opaqueness offers the possibility
- 22 of using a reflected front-lighting source.

23

- 24 High pressure thermoforming is preferably used to create
- 25 formed cavities 266, connecting channels 267, optional
- 26 side channels 268, primary access ports 269 and secondary
- 27 optional access ports 2610 . Shallow channels 2611
- 28 provide entry slots for the conductive tracks 252, 253.
- 29 Typical relative depths of these formed features is
- 30 illustrated in typical section Figure 31.

- 32 Figure 27 shows a further preparative step in
- 33 manufacturing the formed patterned layer whereby a

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1 knifing or blanking process is used to cut apertures or 2 slots in the film. Apertures 2712 provide the access 3 entry slots for the conductive tracks 252, 253. Aperture 2713 ensures that the code mark 254 is not obscured by 4 5 any translucency or opaqueness in the film 265. 6 Figure 28 shows layer 251 and layer 265 assembled 7 together. This will be effected by either a heat sealing 8 or an adhesive process or both, to ensure that the two . 9 layers achieve a tight seal around the profile of the 10 various patterned recesses 266, 267, 2611 etc. in Layer 11 265. Heat sealing can be achieved by the contact surface 12 material of Layer 265 comprising a thin layer of low 13 14 melting point polymer such as poly-ethylene; alternatively adhesive bonding can comprise the use of 15 commercial cyano-acrylate or, in the case of sealing 16 zones 2814, a commercial silicone rubber compound may be 17 18 used. 19 Figure 29 shows an exoskeleton component 2915 whose 20 purpose is to protect layer 265 as well as providing 21 rigid access ports 2916, 2917 for loading and unloading 22 23 the tape. Apertures 2918 protect the cavities 266 and an 24 aperture 2919 protects the channel 267. 25 26 The exoskeleton material is preferably a rigid polymer such as polycarbonate, ABS, polyester, polystyrene, 27 polyethylene, polymethyl methacrylate, polypropylene or 28 other co-polymers of these materials. This exoskeleton 29 will be typically 1.0mm thick but other thicknesses in 30 the range 0.5mm to 3mm may be used. 31

- 1 Figure 30 shows the rigid exoskeleton 2915 affixed to the
- 2 layer 251 plus layer 265 assembly. This may be by
- 3 adhesive bonding or by incorporating protrusions in the
- 4 exoskeleton 2915 which will snap fit into corresponding
- 5 apertures in the supporting layer 251. Where the Layer
- 6 265 adjoins an access port on the exoskeleton 2915, for
- 7 example, at cavity locations 3021, an adhesive layer,
  - 8 preferably a commercial silicone rubber compound, will
  - 9 ensure intimate local contact between Layer 265 and
- 10 exoskeleton 2915.

- 12 Figure 31 shows a section 3100 through the assembly 3101
- 13 along the line "D" to "D". Depths are exaggerated in this
- 14 figure for clarity, but a typical overall height of the
- 15 exoskeleton is 1mm. This cross section shows that
- 16 cavities 266 are raised to the height of the exoskeleton,
- 17 cavities 269 are raised to a lesser extent (typically
- 18 0.5mm) and the channel 267 has a low profile (typically
- 19 50 to 200um deep). A conductive strip 253 (typically 20
- 20 to 50um thick) is shown entering a cavity 256. Sealing
- 21 plugs 3124 are shown at the access port locations. These
- 22 sealing plugs will comprise compliant polymer, preferably
- 23 'an elastomer such as polyurethane or silicone rubber.
- 24 These plugs will incorporate a feature allowing removal
- 25 and replacement by a simple hand tool or, for continuous
- 26 unattended operation, allow automated removal and
- 27 replacement. Note also feature 3123 which is a tapered
- 28 section of cavity forming a smooth transition between the
- 29 cavity 266 and the channel 267.

- 31 Figure 32 shows a method of loading liquid electrolyte
- 32 (for example 2mM Tris, 2mM Acetate, 0.5mM EDTA) by
- 33 accessing a probe 3225 into an end cavity. Locations 3226

33

the lower cavity.

may be vented and sealed (plugs 3124) as part of the 2 filling process. Note that the micro-scale of the 3 penetration points will allow surface tension to prevent unwarranted leakage while the sealing caps are applied. . 4 5 Figure 33 shows a method of pre-loading a column of gel 6 7 3328 at the point of manufacture using a loading probe 3327. The gel is loaded as a pre-determined dispensed 8 9 volume from the elution cavity end of the test segment. The gel is preloaded with a fluorescing marker dye. 10 11 The test segment has now been pre-loaded ready for use, 12 13 and will be shipped in this condition to the point of use. The only "wet chemistry" at the point of use is to 14 load the test sample for analysis. 15 16 Figure 34 shows a loading probe 3429 penetrating through 17 18 the top loading port of the exoskeleton at the point of use. The corresponding cap 3124 may be discarded or 19 replaced depending on whether the tape is required to be 20 archived after use. The test sample 3430 will be prepared 21 in a solution which is denser than the surrounding 22 23 electrolyte.in the tape cavity, for example, a solution 24 of sucrose will ensure that the test sample will flow under gravity into the tapered channel and gather right 25 26 at the top of the gel column. 27 The exoskeleton incorporates access ports which can be 28 oriented longitudinally (e.g. port no.3431) or 29 perpendicularly (e.g. port no. 3432). Optionally port 30 3432 can be used to vent any unwanted build up of gas in 31

- 1 These fabrication methods can create features which
- 2 provide a wide range of processing options at the point
- 3 of use.

- 5 With reference to Figure 35, the automated processing has
- 6 the steps of transporting the tape and selecting an area
- 7 for processing 351, piercing the apparatus with a probe
- 8 or probing the apparatus 352, and performing microfluidic
- 9 processing 353 at the selected area, then repeating 354
- 10 the above steps until processing of the reel of tape is
- 11 complete.

12

- 13 During these steps the fabricated apparatus with its
- 14 optional preloaded processing materials may be deformed
- 15 in order to cause dynamic processing. The apparatus may
- 16 be deformed by bending, flexing, folding, twisting,
- 17 conforming to a rigid surface, mechanical deformation,
- 18 deformation by applying a sound pressure, deformation by
- 19 applying a liquid pressure, and deformation by applying a
- 20 gas pressure. Optionally the deformation can result in
- 21 the bringing of a part of the apparatus back into contact
- 22 with another part of itself or with another apparatus.
- 23 The deformation may move part of the apparatus into a
- 24 position for processing, including being in contact with
- 25 a processing tool. The deformation of the apparatus
- 26 results in dynamic processing that includes pumping,
- 27 filling, pouring, pressurising, mixing, dispensing,
- 28 aspirating, separating, combining, heating and cooling.

- 30 Apparatuses that include impermeable membranes facilitate
- 31 further novel processing methods that involve the
- 32 impermeable membrane. The membrane may be pierced by one
- 33 or more probes. These probes may be pipettes.

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Conducting probes that have pierced the membrane may 1 2 provide an electrical potential, and used for passing an electric current through the conducting probe into a 3 4 conducting medium. 5 Optionally a grid of probes are mounted on a discrete 6 carrier or a continuous carrier that can be indexed or 7 replaced, such that another set of probes can be used 8 after the first set has worn out. 9 10 11 The grid of probes may be configured such that each probe is separately addressable and each probe may have a 12 separate voltage applied in order to progressively move 13 14 the processing material through processing elements, such as indented troughs and permeation layers in the 15 16 apparatus, after the grid of probes has penetrated or 17 contacted a corresponding grid of impermeable membranes. 18 This arrangement can be used to move process materials 19 through permeation layers for molecular separation. 20 controlled and progressive switching of voltages on the 21 grid of probes can be used to split processing material 22 into more than one separate processing path through more 23 than one separate processing elements. These split 24 process materials may be further combined or different 25 process materials may be combined at the junctions of 26 paths through the apparatus. In this way, the grid of 27 electrical probes can be configured to apply voltages 28 that cause a multi-dimensional separation of molecules, 29 e.g. polypeptide or protein molecules. 32

30

31 If the probes are pipettes, processing materials may be

introduced into the apparatus through the impermeable

33 membranes that have been penetrated or processing

- l materials removed from within the apparatus. An array of
- 2 pipettes compatible with 96, 192, 384, 1536 or 3456 well
- 3 assay plates can be matched to an array of commensurately
- 4 spaced impermeable membranes for penetration by the array
- of pipettes. Probes that penetrate or touch the surface
- 6 of a membrane can cause processing to be performed, such
- 7 as pumping, filling, pouring, pressurising, mixing,
- dispensing, aspirating, separating, combining, heating,
- 9 cooling, movement by electrokinesis, movement by
- 10 electrokinesis, movement by the molecular entrapment
- 11 method of molecular tweezers, acoustic tweezers and bio-
- 12 molecular motor principles.

**13**.

- 14 An apparatus in the form of a tape may be transported
- 15 through processing equipment and handling equipment by
- 16 friction of, for example, rollers in contact with the
- 17 apparatus or by pinions inserted into indents or
- 18 perforations in the apparatus in a similar manner to the
- 19 handling of photographic or cine film. Alternative
- 20 methods of moving the tape include sliding drawers and
- 21 walking beams. Moving the apparatus with electromagnetic
- 22 fields and induction within the apparatus or moving using
- 23 air or fluid pressure applied to the apparatus are also
- 24 possible.

- 26 The position of the apparatus in response to movement is
- 27 detected by measurement of indexing patterns. After
- 28 movement dynamic processing can be performed and then
- 29 further repeated movement and dynamic processing steps
- 30 can be performed in a continuous fashion as the
- 31 continuous tape is indexed through the processing
- 32 equipment.

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1 In conclusion, we present the advantages of the present

2 invention.

3

4 A significant and long-established traditional art for

- 5 some of the kinds of bio-molecular separation described
- 6 herein is commonly referred to as "slab gel
- 7 electrophoresis". The demands in material usage, process
- $\theta$  time, operator time and workspace for this process are
- 9 recognised by those with even minor experience of this
- 10 art. The procedure commonly employs manual preparation of
- 11 gels involving mixing, heating and casting steps.
- 12 Although the method can now employ pre-cast gels to
- 13 provide some degree of improvement, the overall process
- 14 remains manually intensive and inefficient.

15

- 16 In contrast, the present invention offers significant
- 17 advantages, by miniaturising all the elements of this
- 18 traditional process and eliminating many of the material
- 19 preparation and manual processing tasks.

20

- 21 While the traditional processes remain in common use, new
- 22 art is emerging which includes miniaturised bio-analysis
- 23 systems employing chip-scale technology, micro-fluidics,
- 24 and semiconductor fabrication techniques.

25

- 26 The present invention provides advantages over both
- 27 traditional and emerging techniques.

28

- 29 The present invention provides very significant savings
- 30 in materials, time and workspace over traditional gel
- 31 electrophoresis methods.

- 1 The present invention provides an adaptable platform for
- 2 a very wide range of bio-analysis processes (not just gel
- 3 electrophoresis) and employs geometric patterning,
- 4 tooling methods and fabrication methods which are much
- 5 less complex than other emerging micro-fluidic or chip
- 6 scale techniques. This allows rapid and cost effective
- 7 production of multiple versions of tape to match the
- 8 range of applications anticipated.

- 10 The present invention allows bio-sample processing in a
- 11 range from one single simple test up to highly parallel
- 12 and multiple complex tests in an uninterrupted continuous
- 13 serial or parallel mode. The former is attractive to
- 14 small research laboratories, many quality control
- 15 laboratories, and point of care clinics. The latter is
- 16 attractive to high throughput processing laboratories. A
- 17 combination of these processing methods is attractive to
- 18 public health hospitals and clinics whose demand can
- 19 fluctuate significantly. This range of capability is
- 20 provided in one single effective and efficient platform
- 21 regardless of usage patterns.

22

- 23 The present invention configures processing elements on a
- 24 highly flexible substrate and enables a versatile range
- 25 of substrate indexing patterns and transport methods to
- 26 be utilised as described.

- 28 Additionally, these transport methods provide the
- 29 advantage of allowing the use of non complex, compact,
- 30 low cost optical scanning means by the embodiment of a
- 31 fixed position transverse optical line-scanning system
- 32 whose focal plane is along a line across the width of the

- 1 substrate. The scanning function is provided by the
- 2 (already provided) indexing motion of the substrate.

- 4 This highly flexible substrate also enables the other
- 5 described features and advantages which result from
- 6 bending, folding, twisting, flexing and deforming its
- 7 geometry.

8

- 9 The substrate flexibility also allows it to be penetrable
- 10 by probes for the purposes of processing material
- 11 delivery or removal, electrical connection and process
- 12 tooling introduction.

13

- 14 Additionally this flexible substrate is suitable for
- 15 affixing a secondary impermeable membrane which is also
- 16 readily penetrable by suitable probes for the purposes of
- 17 processing material delivery or removal, electrical
- 18 connection, process tooling introduction.

19

- 20 The penetrable substrate and penetrable membrane provides
- 21 a processing system which can be fully enclosed and which
- 22 can provide some processing materials pre-loaded within
- 23 the system. This minimises preparation, avoids spillage,
- 24 avoids the need for cleaning or flushing procedures and
- 25 simplifies waste disposal.

26

- 27 Alternatively, a stereo-lithographic method is described
- 28 to fabricate the substrate and the impermeable membrane
- 29 in one homogenous material with the advantage that this
- 30 simplifies the means of construction.

- 32 Alternatively, a selective laser sintering method is
- 33 described to fabricate the substrate and the impermeable

membrane in a single fabrication process again with the advantage that this simplifies the means of construction.

3

- 4 The present invention employs one generic material type
- 5 in its construction (polymer) and avoids the significant
- 6 use of glass, silicon or metal in its fabrication. This
- 7 simplifies the waste disposal methods after bio-
- 8 processing is complete.

9.

- 10 The fabrication techniques described provide a wide range
- 11 of substrate geometries. These features can be created
- 12 by rapid and simple methods of tooling, thus avoiding the
- 13 long lead times and complexity of other miniaturised bio-
- 14 processing systems.

15

- 16 The present invention has the advantage that these rapid
- 17 and simple fabrication techniques correspond to
- 18 processing elements whose dimensional accuracy is less
- 19 critical than those of chip scale devices. A
- 20 corresponding advantage is that this is achieved without
- 21 sacrifice to the overall device size because the device
- 22 size, in the current state of the art, is determined by
- 23 the practicalities of the size of the sample loading
- 24 wells and not by the processing element sizes.

- 26 The present invention can be enhanced by pre-printing
- 27 processing materials onto a planar plastic film substrate
- 28 using commercially available printing methods and then by
- 29 deforming that substrate in a non planar fashion such
- 30 that the pre-printed material deforms into a desired
- 31 shape or position and such that, for example, a pre-
- 32 printed permeation layer can subsequently (after forming
- 33 of the substrate) be hydrated into its gelatinous phase.

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- 1 Related printing and forming methods are already
- 2 established in the field of foil manufacture for "in-
- 3 mould decoration" of plastic injection moulded products .
- 4 (used for cosmetic effect mainly on consumer electronic
- 5 products), but the present invention provides the scope
- 6 for adapting these methods into this unconnected field of
- 7 application.

8 .

- 9 The flexible substrate is readily available in a range of
- 10 polymer materials whose optical properties can be matched
- 11 to available commercial optical systems for detection or
- 12 imaging of the bio-processing events during system
- 13 operation.

- 15 Further modifications and improvements may be added
- 16 without departing from the scope of the invention herein
- 17 described.

## 1 **CLAIMS** 2 An apparatus for microfluidic processing 3 1. applications, characterised in that the apparatus comprises at least one rigid member and at least one non-rigid member. 6 8 The apparatus of Claim 1, wherein said apparatus 9. comprises at least two non-rigid members. 10 The apparatus of any previous Claim, wherein said 11 3. 12 non-rigid member is a tape. 13 14 The apparatus of any previous Claim, wherein there are a plurality of rigid members each associated 15 with one of a plurality of areas each individually 16 17 selectable on said apparatus. 18 19 5. The apparatus of any previous Claim, wherein said 20 rigid member comprises access ports. 21 2.2 A method of fabrication of an apparatus for microfluidic processing applications, comprising the 23 step of attaching at least one rigid member to at 24 25 least one non-rigid member. 26 27 The method of Claim 6, wherein said method of 7. fabrication further comprises the step of forming at 28 29 least one non-rigid member. 30 31 The method of Claim 7, wherein said step of forming said at least one non-rigid member comprises the 32

1		step of high pressure plastic film forming with said
2		high pressure acting on said apparatus.
3	•	
4	9.	The method of Claim 8, wherein said step of high
5		pressure plastic film forming is arranged with the
6		high pressure acting on a compliant membrane, which
7		is part of a forming tool in contact with said
8		apparatus.
9		
10	10.	The method of any of Claims 6 to 9, wherein said
11	•	rigid member has a maximum dimension perpendicular
12		to its plane greater than the maximum dimension
13		perpendicular to the plane of said at least one non-
14		rigid member.
15		
16	11.	A method of mounting an apparatus for microfludic
.17		processing applications, comprising the step of
18		attaching of said apparatus to a non-rigid carrier
19		that is in the form of a tape.
20		·
21	12.	The method of Claim 11, wherein said carrier has a
22		maximum dimension perpendicular to its plane greater
23		than the maximum dimension perpendicular to the
24		plane of said apparatus.
25		
26	13.	The method of any of Claims 11 to 12, wherein said
27		apparatus is attached to said non-rigid carrier by
28		snap fitting into apertures in said carrier.
29		
30	14.	The method of any of Claims 11 to 13, wherein said
31		apparatus is attached to said non-rigid carrier by
32		ultrasonic welding, heat sealing, adhesive, chemical
33		or molecular bonding.

1. 2 The apparatus or method of any previous Claim, **3** . wherein said apparatus is a tape. The apparatus or method of any previous Claim, 5 16. 6 wherein said apparatus comprises a polymer film. 7 The apparatus or method of any previous Claim, 8 9 wherein said apparatus comprises processing elements 10 for microfluidic processing. 11 The apparatus or method of Claim 17, wherein said 12 18. 13 processing elements comprise indents of said 14 apparatus. 15 19. The apparatus or method of Claim 17, wherein said 16 17 processing elements comprise cavities embedded 18 within said apparatus. 19 20 The apparatus or method of any of Claims 17 to 19, wherein said processing elements comprise processing 21 materials in intimate contact with the surface of 22 23 said apparatus. 24 The apparatus or method of any of Claims 17 to 20, 25 21. wherein said processing elements comprise processing 26 materials embedded within said apparatus. 27

28

The apparatus or method of any of Claims 17 to 21, 29 wherein said processing elements comprise opaque, 30 translucent or coloured materials for providing 31 optical isolation between elements or providing 32

33 indexing marks.

1 2 23: The apparatus or method of any previous Claim, 3 wherein a member of said apparatus is transparent. 4 The apparatus or method of any previous Claim, 5 24. 6 wherein said apparatus is penetrable. 7 The apparatus or method of any previous Claim, 9 wherein said apparatus is self sealing during 10 penetration. 11 The apparatus or method of any previous Claim, 12 26. 13 wherein said apparatus is self sealing after 14 penetration. 15 16 The apparatus or method of any previous Claim, 17 wherein said apparatus further comprises an 18 impermeable membrane. 19 20 28. The apparatus or method of Claim 27, wherein said 21 impermeable membrane is affixed in intimate contact 22 with parts of the surface of said apparatus. 23 24 The apparatus or method of any of Claims 27 to 28, 29. 25 wherein said impermeable membrane is arranged as discrete areas of impermeable membrane in intimate 26 27 contact with parts of the surface of said apparatus. 28 29 The apparatus or method of any of Claims 27 to 29, wherein said impermeable membrane is penetrable. 30

1	31.	The apparatus or method of any of Claims 27 to 30,
2		wherein said impermeable membrane is self sealing
<sup>.</sup> 3	•	during penetration.
4		*
5	32.	The apparatus or method of any of Claims 27 to 31,
6		wherein said impermeable membrane is self sealing
7		after penetration.
8		
9	3,3.	The apparatus or method of any of Claims 27 to 32,
10	•	wherein said impermeable membrane is re-sealed by a
11		capping element after penetration.
12		
13	34.	The apparatus or method of any of Claims 27 to 33,
14		wherein said impermeable membrane is supported by
15		support structures.
16.		
17	35.	The apparatus or method of any previous Claim,
18		wherein said apparatus further comprises a non-rigio
19		member.
20		
2.1	36.	The apparatus or method of Claim 35, wherein said
22		non-rigid member is affixed in intimate contact with
23		parts of the surface of said apparatus.
24		
25	37.	The apparatus or method of any of Claims 35 to 36,
26		wherein said non-rigid member is arranged as
27		discrete areas of non-rigid member in intimate
8 8		contact with parts of the surface of said apparatus.
9		
30	38.	The apparatus or method of any of Claims 35 to 37,
31		wherein said non-rigid member is penetrable

1 39. The apparatus or method of any of Claims 35 to 38,

wherein said non-rigid member is self sealing during

3 penetration.

4

5 40. The apparatus or method of any of Claims 35 to 39, 6 wherein said non-rigid member is self sealing after 7 penetration.

8

9 41. The apparatus or method of any of Claims 35 to 40,

10 wherein said non-rigid member is re-sealed by a

11 capping element after penetration.

12

13 42. The apparatus or method of any of Claims 35 to 41,

14 wherein said non-rigid member is supported by

15 support structures.

16

17 43. The method of any of Claims 6 to 42, wherein said

18 step of fabricating said apparatus further comprises

19 the step of preloading processing materials onto

20 said apparatus before fabrication.

21

22 44. The method of any of Claims 6 to 42, wherein said

23 step of fabricating said apparatus further comprises

the step of loading processing materials onto said

25 apparatus during fabrication.

26

24

27 45. The method of Claim 44, wherein said step of

28 preloading or loading during fabrication of said

29 apparatus comprises the step of depositing

30 processing materials onto a carrier.

31

32 46. The method of Claim 44, wherein said step of

33 preloading or loading during fabrication of said

	-	•
1		apparatus comprises the step of depositing
2	:	processing material onto a non-rigid member.
·, 3		
4	47.	The method of any of Claims 44 to 46, wherein said
· 5		deposited processing material comprises permeation
. 6		layers.
7		
8	48.	The method of any of Claims 44 to 46, wherein said
9	·	deposited processing material comprises conductive
10		material.
11-		
12	49.	The method of any of Claims 44 to 46, wherein said
13		deposited processing material comprises chemically
14		or biologically active material.
15		
16	50.	The method of any of Claims 44 to 46, wherein said
17		deposited processing material comprises marks for
18		identity purposes.
19		
20	51.	The method of any of Claims 44 to 46, wherein said
21		deposited processing material comprises magnetisable
22		material.
23		
24	52.	The method of any of Claims 44 to 51, wherein said
25	•	step of depositing comprises printing.
26		
27	53.	The method of any of Claims 44 to 52, wherein said
28		step of preloading or loading during fabrication of
29		said apparatus is performed by a preloading process
30 .		selected from a list of processes comprising:
31		deposition and etching, injection into a cavity and
32	,	injection into an indentation.

l	54.	The method of any of Claims 6 to 53, wherein said
2		method of fabrication of said apparatus further
3		comprises the steps of depositing patterns on an
4		apparatus and forming said apparatus, wherein the
5		localised formation of said processing elements is
6		responsive to the distortion by said forming of said
7		deposited pattern.
8		•
9	55.	The method of any of Claims 6 to 54, wherein said
.0		method of fabrication of said apparatus further
.1		comprises the steps of depositing patterns on an
.2		apparatus and localised formation of said apparatus
.3		responsive to the topography of said deposited
4		pattern, resulting in the formation of said
15		processing elements.
L <b>6</b>	,	
.7	56.	The method of any of Claims 54 to 55, wherein said
8		step of depositing comprises pre-printing.
19		
20	57.	A method of fabrication of an apparatus for mass
21		transport microfluidic processing applications,
22		comprising the step of including an impermeable
23		membrane as part of said apparatus.
24		
25	58.	The method of Claim 57, wherein said step of
26		including an impermeable membrane comprises the step
27		of affixing an impermeable membrane to a substrate.
8.8		
29	59.	The method of any of Claims 57 to 58, wherein said
30		step of including an impermeable membrane comprises
31	•	the step of depositing, overlaying or affixing
32		discrete areas of impermeable membrane in intimate
33		contact with parts of the surface of said apparatus

•	
1	

- 2 60. The method of any of Claims 57 to 59, wherein said
- 3 step of including an impermeable membrane comprises
- the step of depositing, overlaying or affixing an
- 5 impermeable membrane on said apparatus and
- 6 selectively removing areas of said impermeable
- 7 membrane.

- 9 61. The method of Claim 60, wherein said selected
- 10 removal of said impermeable membrane is performed by
- 11 the step of cropping.

12

- 13 62. A method of fabrication of an apparatus for mass
- 14 transport microfluidic processing applications,
- 15 comprising the step of including a non-rigid member
- 16 as part of said apparatus.

17

- 18 63. The method of Claim 62, wherein said step of
- including a non-rigid member comprises the step of
- 20 . affixing a non-rigid member to a substrate.

21

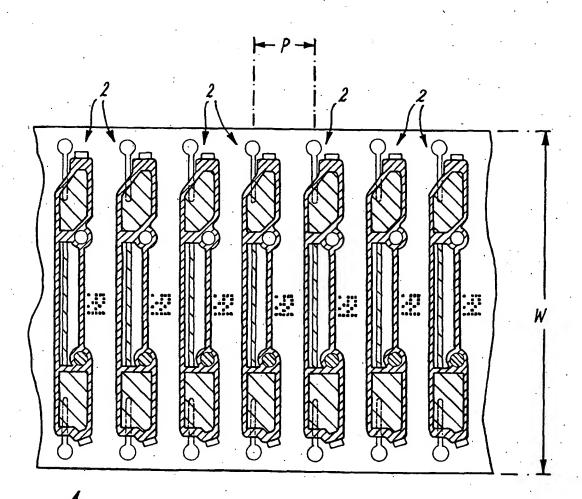
- 22 64. The method of any of Claims 62 to 63, wherein said
- 23 step of including a non-rigid member comprises the
- 24 step of depositing, overlaying or affixing discrete
- 25 areas of non-rigid member in intimate contact with
- 26 parts of the surface of said apparatus.

27

- 28 65. The method of any of Claims 62 to 64, wherein said
- 29 step of including a non-rigid member comprises the
- 30 step of depositing, overlaying or affixing a non-
- 31 rigid member on said apparatus and selectively
- removing areas of said non-rigid member.

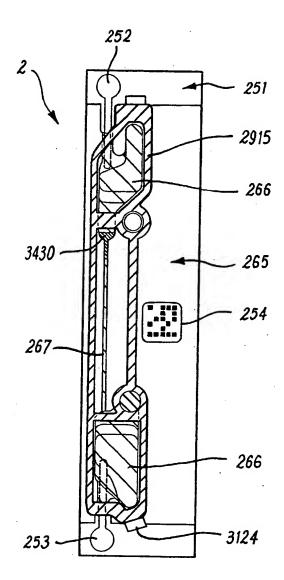
1	66.	The method of Claim 65, wherein said selected
2		removal of said non-rigid member is performed by the
3		step of cropping.
4		•
5	67.	An apparatus for microfluidic processing
6		applications, characterised in that the apparatus is
7		a non-rigid tape comprising a plurality of indexing
8		patterns.
9		
10	68.	The apparatus of Claim 67, wherein said indexing
11		patterns are rigid members.
12		
13	69.	The apparatus of any of Claims 67 to 68, wherein
14		said indexing patterns are repeated.
15		o i apodica.
16	70.	The apparatus of any of Claims 67 to 69, wherein
17		said indexing patterns are arranged to facilitate
18		detection of position.
19		
20 ·	71.	The apparatus of any of Claims 67 to 70, wherein
21		said indexing patterns are arranged to facilitate
22		detection of position using optical detection.
23		
24	72.	A method of transporting a tape apparatus for
25		microfluidic applications comprising the step of
26		moving said apparatus by interaction of a moving
27		object with at least one rigid member attached to
28		said apparatus.
29	73.	

1/21

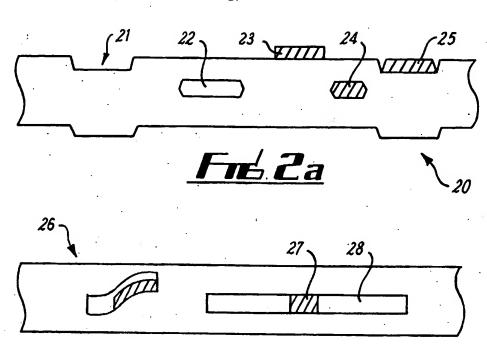


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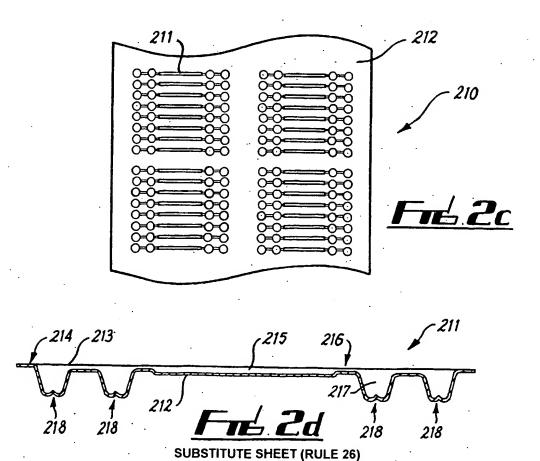


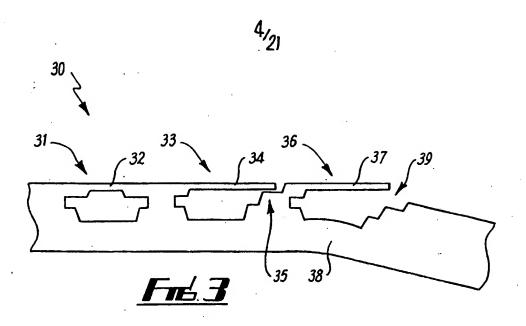


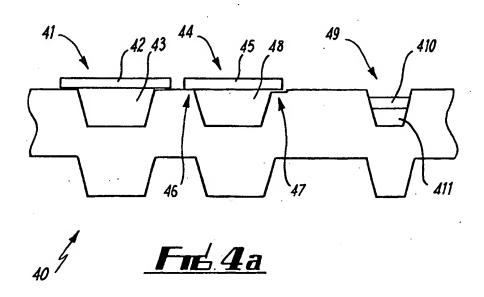
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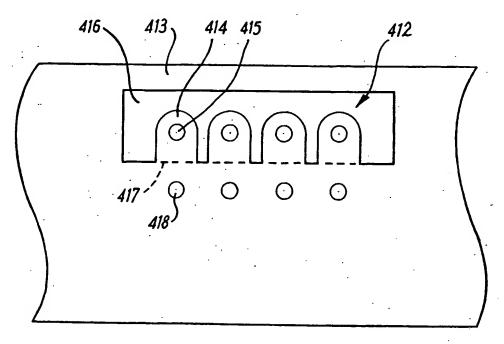


Fre 2b

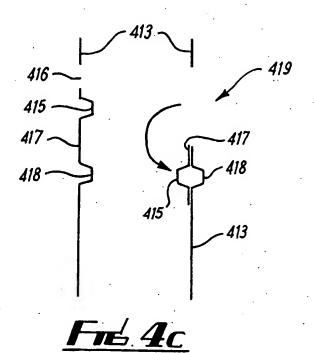




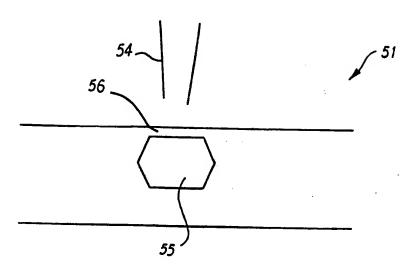


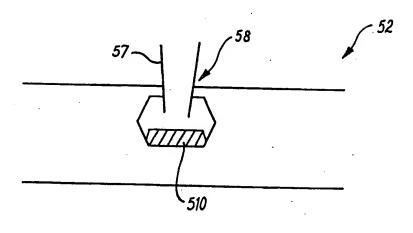


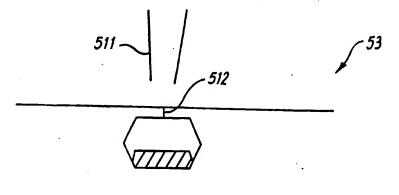
Fred 4b



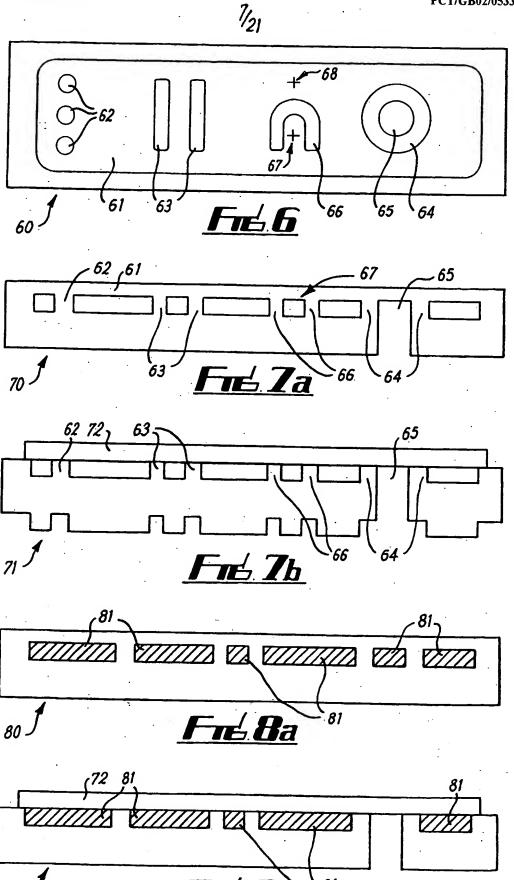
**SUBSTITUTE SHEET (RULE 26)** 



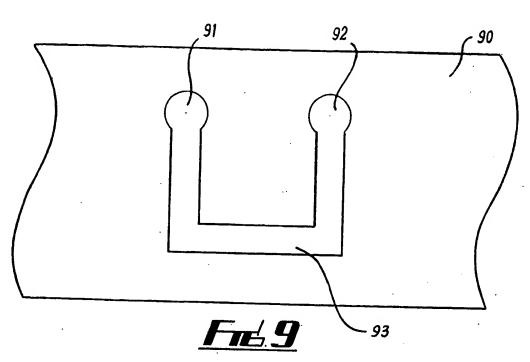


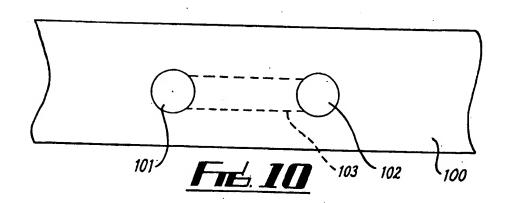


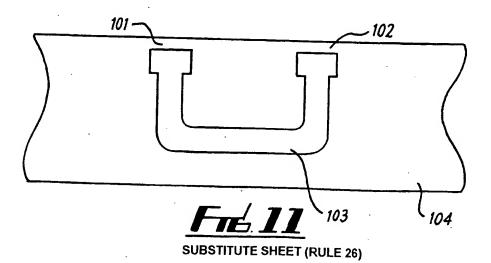
SUBSTITUTE SHEET (RULE 26)

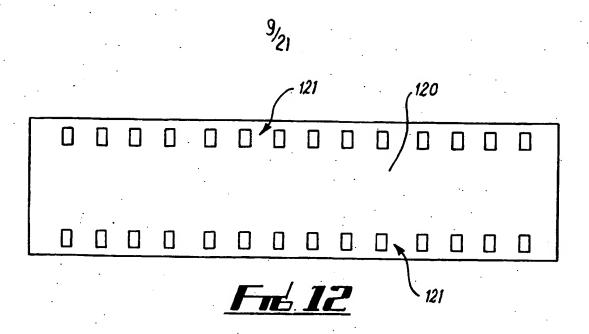


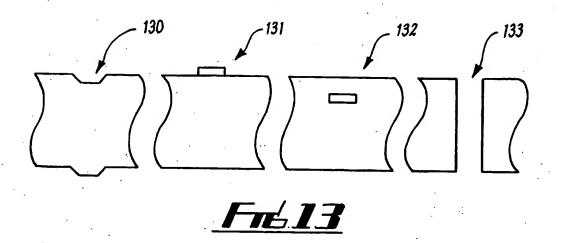




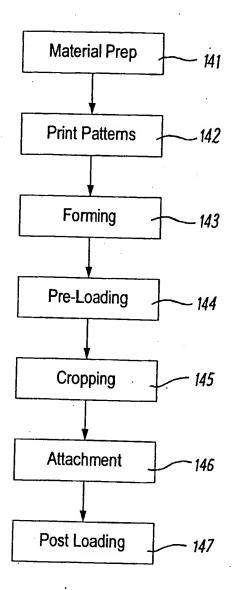






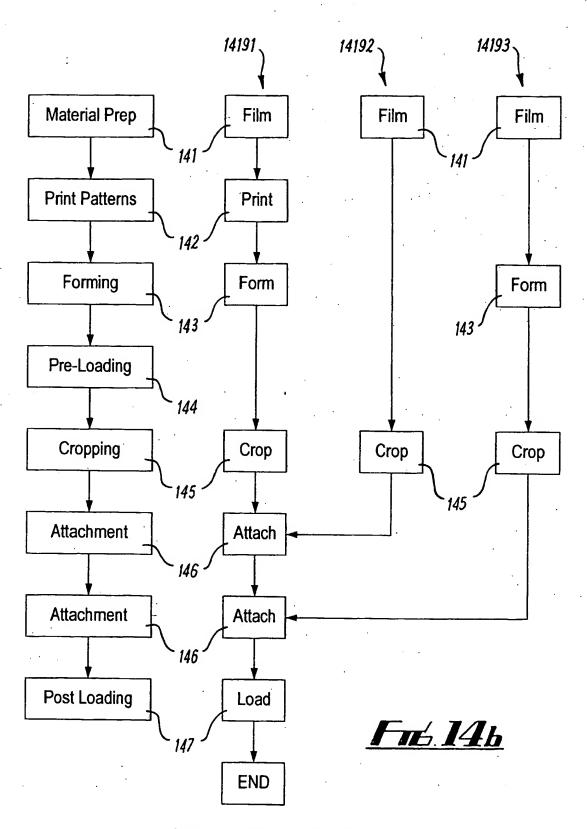


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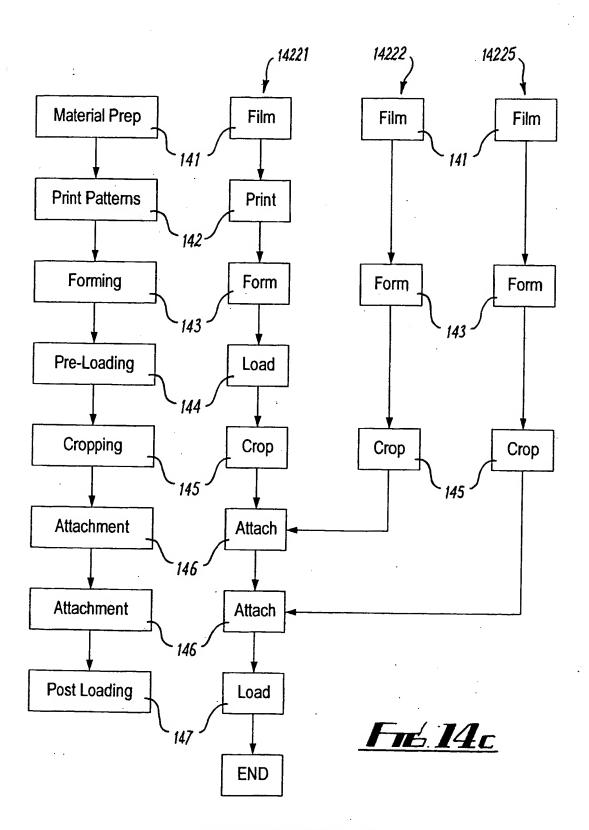


## Fres 14a

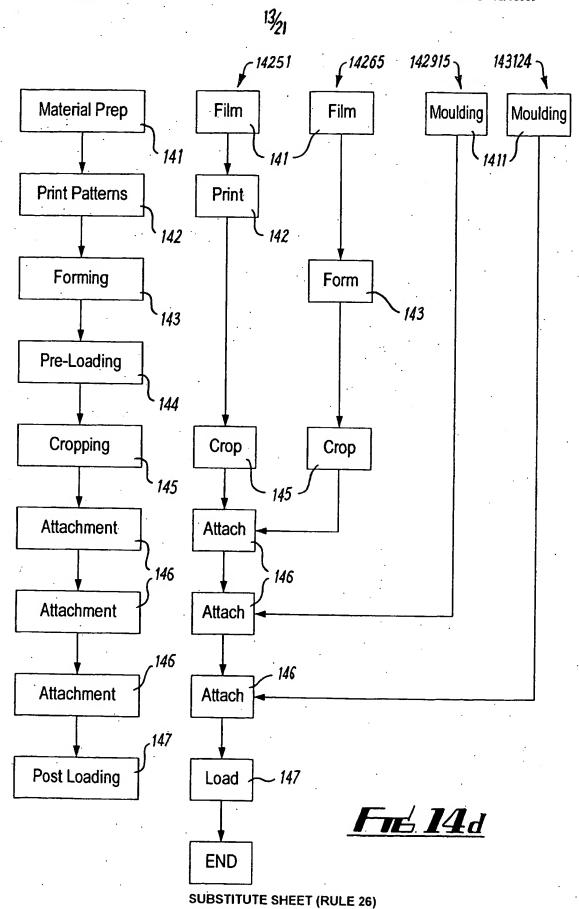
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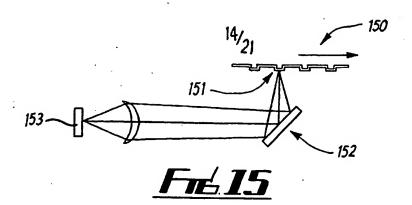


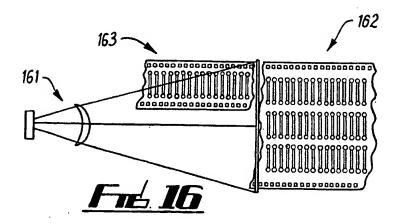
**SUBSTITUTE SHEET (RULE 26)** 

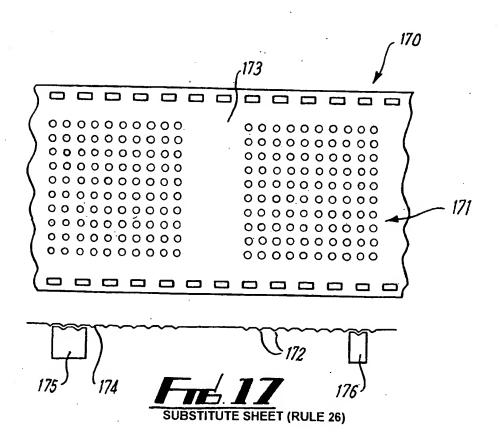


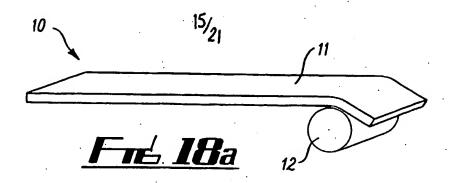
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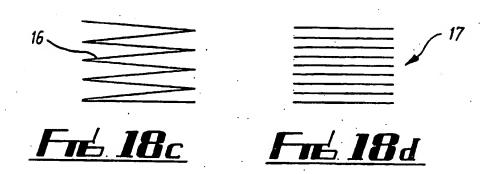


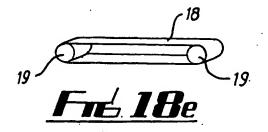


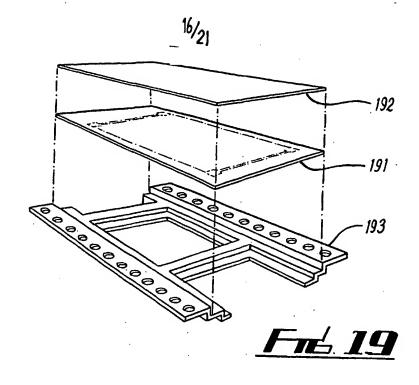


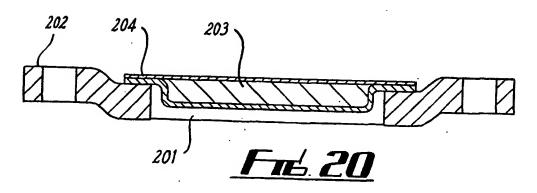


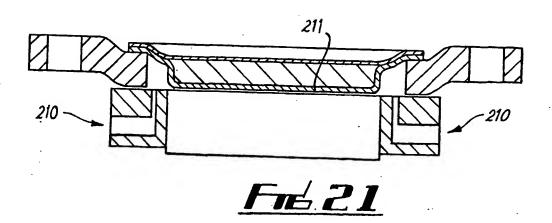


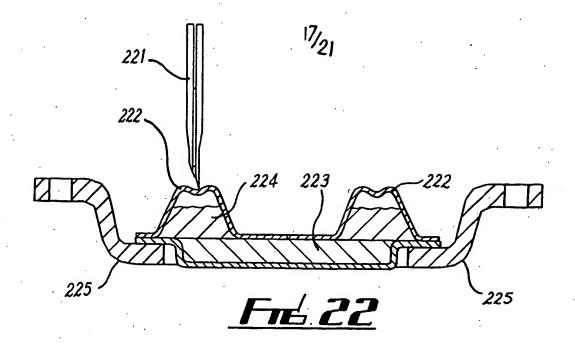


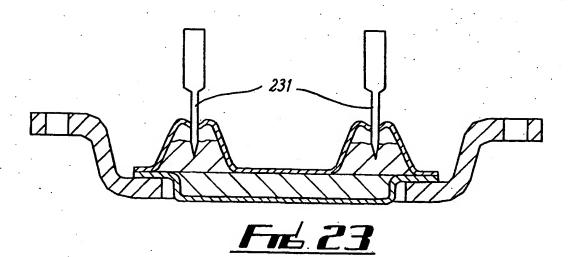


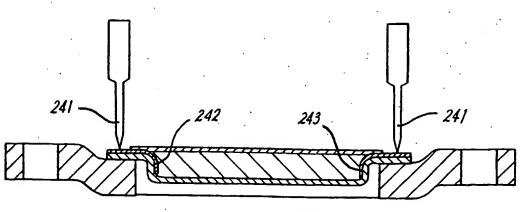


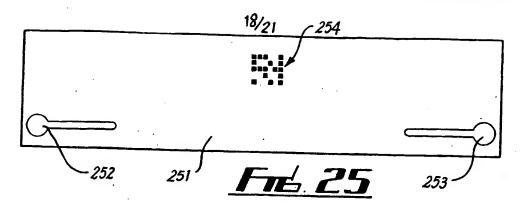


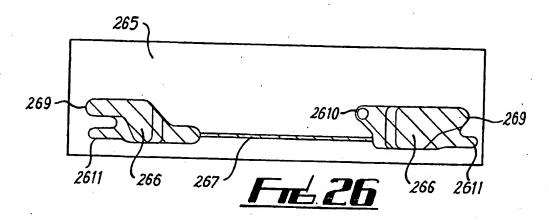


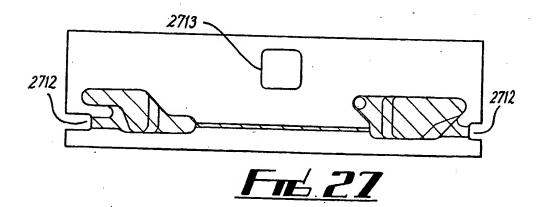


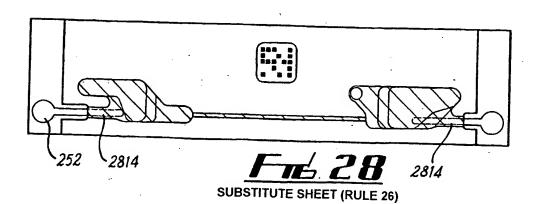


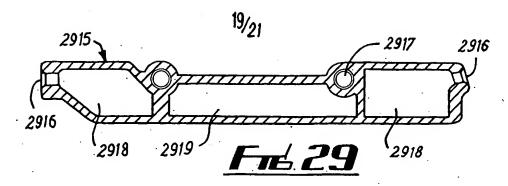


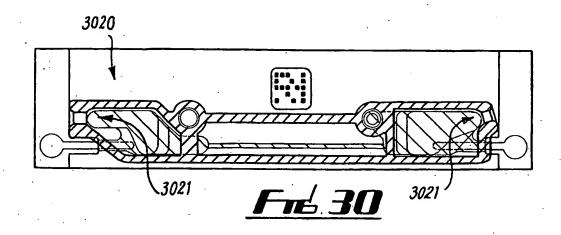


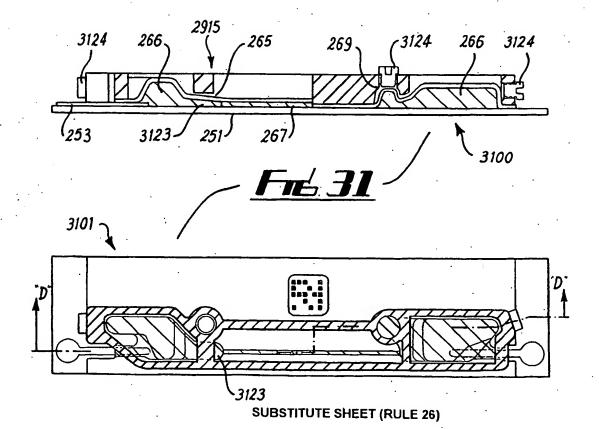


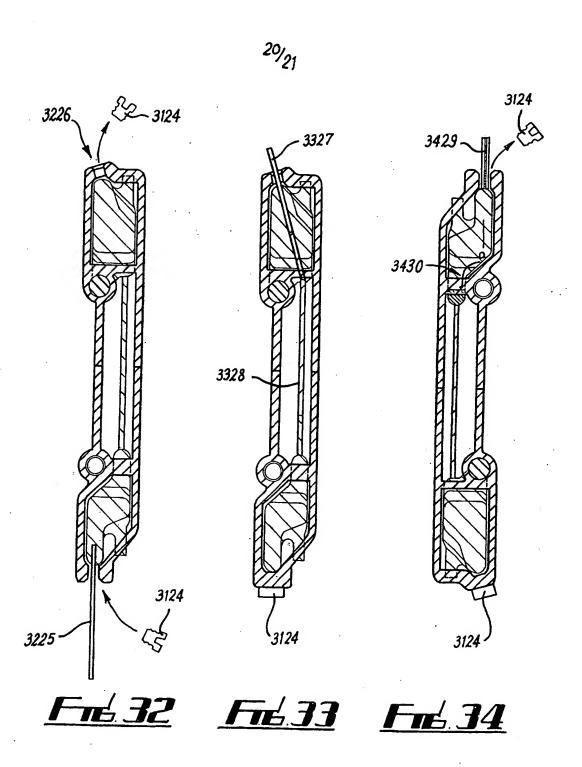




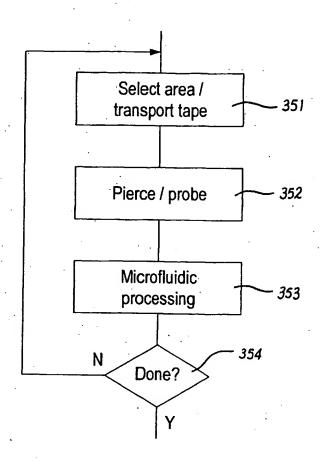








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